

# Exhibit A

**List of Persons and Entities Excluded from the Class Pursuant to Request**

- |   |                        |
|---|------------------------|
| 1. Patrick W. Lynn  | Lake Zurich, IL        |
| 2. Jon M. Hall and Lorraine B. Hall                               | Saint Cloud, FL        |
| 3. Kathleen Luckner   | Pittsburgh, PA         |
| 4. Elaine B. Scott Trust Estate                                   | Moline, IL             |
| 5. Frederick W Heiler Sr. TOD<br>Michael D Heiler, subject to STA | Erie, PA               |
| 6. Dorothy M. Spomer, Trustee                                     | Torrance, CA           |
| 7. The Wyne Trust<br>Benjamin L. Wyne, Trustee                    | Mesa, AZ               |
| 8. Estate of Lester T. Sonner<br>Glenn T. Sonner, Executor        | Centereach, NY         |
| 9. Jeanette E. Thornton   | North Chesterfield, VA |
| 10. Conrad Heinemann  | Chanhassen, MN         |
| 11. Rosemary Mattli   | Litchfield, IL         |
| 12. Mary Lou Yindra   | Ivoryton, CT           |
| 13. Charles A. Ballew   | Bremerton, WA          |
| 14. Steven R. Schmideler  | New Berlin, WI         |
| 15. Alan E. Alt   | Morton, IL             |
| 16. Barbara J. Dash   | Castle Rock, CO        |
| 17. Edward F. Dash  | Castle Rock, CO        |
| 18. Howard Boersma  | Portage, WI            |
| 19. Fred L. Sitz  | Jonesboro, AR          |
| 20. Rita E. Miller  | Timonium, MD           |
| 21. Peter Kayavas and Charlotte A. Kayavas                        | Dunnellon, FL          |
| 22. Sally S. Lownsbery  | Conestoga, PA          |
| 23. William J. Skoumal, Jr.                                       | Lisle, IL              |

24. Annamaria F. Demiris	Woodstock, GA
25. Grace V. Proctor James Proctor, Executrix	Rocky Mount, NC
26. Mary Ellen Haberny	Stamford, CT
27. Carolyn C. Respass	Ashland, OR
28. Helen Vangorder	Napa, CA
29. Michael D. Mukai and Mary C. Mukai	Spokane, WA
30. Judith L. McLaren	Glen Ellyn, IL
31. Peter N. Souris and Georgia F. Souris	Gilbert, AZ
32. Sylvia Hudson (Sylvia Hudson Living Trust)	Oklahoma City, OK
33. Estate of Stanley C. Schade Carol A. Schade, Personal Representative	Sisseton, SD
34. Emily M. Clayton	Bosque Farms, NM
35. Carolyn H. Lane (Carolyn H. Lane REV TR)	Lafayette, CA
36. Ellen Agee	Christiansburg, VA
37. Rebecca L. Starr	Titusville, FL
38. Carmen C. Kraft (Carmen C. Kraft IRA)	Rio Rico, AZ
39. McGrath Living Trust U/A Dated April 13, 2001 Gerald R. McGrath (TTE) Margaret Ann McGrath (TTE)	Savage, MN
40. Roland R. Shaw and Janet S. Shaw	Wilson, NC
41. Kathryn A. Grace Living Trust U/A DTD March 27, 2021 Kathryn A. Grace & Paul J. Grace TTEE	Newport News, VA
42. Jennifer Berthold	Reedley, CA
43. Mark S. Clare	Gasport, NY
44. Harriet W. Campbell	Cutler Bay, FL

45. Hapoalim (Switzerland) Ltd. Zurich, Switzerland  
Bank Hapoalim (Schweiz) AG  
Bank Hapoalim (Switzerland) Ltd.  
Bank Hapoalim (Switzerland) Ltd.-Zurich Head Office  
Bank Hapoalim (Switzerland) Limited-Zurich Branch  
Bank Hapoalim (Switzerland) Geneva Branch  
Bank Hapoalim (Switzerland) Luxembourg Branch  
Bank Hapoalim (Switzerland) Singapore Branch  
Hapoalim (Switzerland) Ltd., and all subsidiaries and  
branches
46. Anne M. Clifford Norristown, PA
47. Caroline M. Plante Colorado Springs, CO  
Jeanne M. Prestel, POA
48. Carmen A. Cerza Virginia Beach, VA
49. Joseph D. Russo and Helene L. Oback-Russo New York, NY

# Exclusion Request - 1

May 3, 2021

Century Link Securities Litigation, EXCLUSIONS

c/o Epiq

P. O. Box 2588

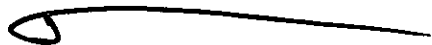
Portland, OR 97208-2588

Whomever:

I hereby request exclusion from the Class in *In re: CenturyLink Sales Practices and Securities Litigation*, Civil Action No. 18-296 (MDJ/KMM).

No idea how many, but shares are too few for the bother.

Thanks!

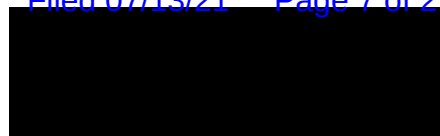
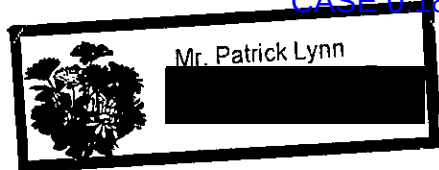


Patrick W. Lynn



Account





Century Link Securities Litigation, EXCLUSIONS  
c/o Epiq  
P. O. Box 2588  
Portland, OR 97208-2588

97208-258888



## Exclusion Request - 2



April 25, 2021

Century Link Securities Litigation EXCLUSIONS, c/o Epiq.  
P.O. Box 2588  
Portland, Or 97208-2588

Lorraine and Jon Hall



To Whom it may concern:

My husband and I request for Exclusion from the Class in re: CenturyLink Sales Practices and Securities Litigation, Civil Action No. 18 -296.

On February 26, 2019 sold 4 shares for \$13.86 each, \$12.00 processing fee net amount was \$43.44.

Jon M Hall and Lorraine B Hall

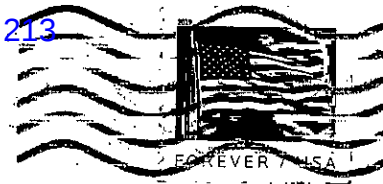
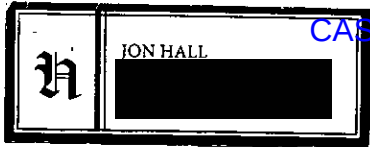


Thank you,

A handwritten signature in cursive script that reads "Jon M. Hall".

A handwritten signature in cursive script that reads "Lorraine Hall".

Jon and Lorraine Hall



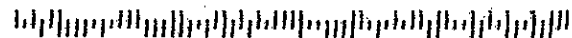
Century Link Securities Litigation  
Exclusions, c/o Cpig.

P.O. Box 2588

Portland, Or.

97208-2588

97208-258888



## Exclusion Request - 3

May 12, 2021

Century Link Securities Litigation

Dear Sir:

My name is Kathleen Luctner. I wish to be excluded from the class in In re: Century Link Sales Practices and Securities Litigation, Civil Action NO. 18-296 (MJD/KMM).

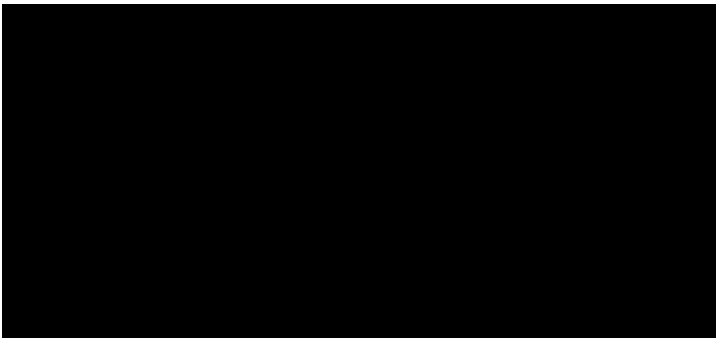
I sold four shares on June 5, 2015 which I had inherited from my aunt, Mary Jadwin, upon her death on June 10, 2012.

These four shares sold at \$32.89 each for a total of \$131.56 minus \$15.40 fee for a total of \$116.60.

Attached are copies of only documents I have in my possession.

Yours truly,

Kathleen M. Luctner




**Computershare**


Computershare Trust Company, N.A.

PO Box 30170

College Station, TX 77842-3170

Within USA, US territories &amp; Canada 800 969 6718

Outside USA, US territories &amp; Canada 312 360 5215

www.computershare.com/investor

CenturyLink, Inc. is incorporated under the laws of the State of LA.

001417



MARY JADWIN

Holder Account Number

Company ID  
SSN/TIN CertifiedCTL  
Yes
**CenturyLink, Inc. - Direct Registration (DRS) Advice**

Transaction(s)

	Transaction Description	Total Shares/Units	CUSIP	Class Description
May 2015	Transfer	-4.000000	156700106	Common Stock

**Account Information: Date: 14 May 2015 (Excludes transactions pending settlement)**

Investment Balance	Direct Registration Balance	Total Shares/Units	CUSIP	Class Description
0.000000	0.000000	0.000000	156700106	Common Stock



SOLD 6/5/15

Computershare



Computershare Trust Company, N.A.

PO Box 30170

College Station, TX 77842-3170

Within USA, US territories &amp; Canada 800 969 6718

Outside USA, US territories &amp; Canada 312 360 5215

www.computershare.com/investor

000803



KATHLEEN M LUCKNER

Holder Account Number

IND



Ticker Symbol

CTL

CUSIP

156700106

## CenturyLink, Inc. - Sales Advice

Trade Date: 02 Jun 2015 14:14 (Time)

Settlement Date: 05 Jun 2015

Cost Basis Method: FIFO

Shares/Units Sold	Price per Share/Unit (USD)	Gross Amount of Sale (USD)	Trading Fees (USD)	Banking/Wire Fees (USD)	Backup Withholding (USD)	Other Fees (USD)	Net Amount of Sale (USD)
0000	33.020000	132.08	15.48	0.00	0.00	0.00	116.60

Covered Transaction Total: 0.000000

Noncovered Transaction Total: 4.000000

Covered Shares/Units Sold	Covered Cost Basis (USD)	Covered Short Term Gain/Loss (USD)	Covered Long Term Gain/Loss (USD)	Overall Covered Gain/Loss (USD)
0000	N/A	N/A	N/A	N/A

PLEASE SEE REVERSE SIDE FOR IMPORTANT DISCLOSURES AND DEFINITIONS

1 L T R

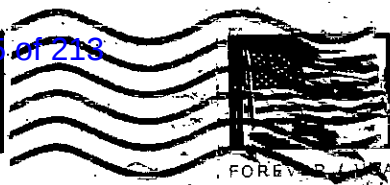
C T L



070003

us.tr.c.4dy.sal.il\_585700080300080301

Kathleen Luckner



Century Link Securities Litigation  
c/o Epiq  
P.O. Box 2588  
Portland, OR 97208-2588

97208-258888



## Exclusion Request - 4



**CHURCHILL & CHURCHILL, P.C.**  
**ATTORNEYS AT LAW**

DANIEL CHURCHILL\*  
MARK D. CHURCHILL\*  
MAUREEN E. RIGGS\*  
\*ALSO ADMITTED IN IOWA

\_\_\_\_\_  
CYRUS CHURCHILL  
1903-1999

May 19, 2021

CenturyLink Securities Litigation  
EXCLUSIONS c/o Epiq  
PO Box 2588  
Portland, OR 97208-2588

In re: CenturyLink Sales Practices and Securities Litigation  
Civil Action No. 18-296 (MJD/KMM)

To Whom It May Concern:

Our office represented the trust estate of Elaine B. Scott, who died on August 20, 2010. We are requesting exclusion from the class in the civil action reference above. The trust estate does not and has never owned any publicly traded CenturyLink. Please exclude our client from the class action law suit.

Sincerely,

CHURCHILL & CHURCHILL, P.C.

:/kt  
Encls.

## MEDICAL CERTIFICATE OF DEATH

STATE FILE NUMBER 2010-0060104

DATE ISSUED 08/23/2010

DECEDENT'S LEGAL NAME ELAINE B SCOTT				SEX FEMALE	DATE OF DEATH AUGUST 20, 2010	
COUNTY OF DEATH		AGE AT LAST BIRTHDAY		DATE OF BIRTH		
CITY OR TOWN		HOSPITAL OR OTHER INSTITUTION NAME				
PLACE OF DEATH						
BIRTHPLACE	SOCIAL SECURITY NUMBER	MARITAL STATUS AT TIME OF DEATH		SURVIVING SPOUSE'S NAME		EVER IN U.S. ARMED FORCES?
RESIDENCE		APT. NO.	CITY OR TOWN		INSIDE CITY LIMITS?	
COUNTY	STATE	ZIP CODE	FATHER'S NAME		MOTHER'S NAME PRIOR TO FIRST MARRIAGE	
INFORMANT'S NAME		RELATIONSHIP		MAILING ADDRESS		
METHOD OF DISPOSITION		PLACE OF DISPOSITION		LOCATION - CITY OR TOWN AND STATE		DATE OF DISPOSITION
FUNERAL HOME						
FUNERAL DIRECTOR'S NAME				FUNERAL DIRECTOR'S ILLINOIS LICENSE NUMBER		
LOCAL REGISTRAR'S NAME				DATE FILED WITH LOCAL REGISTRAR		
<b>Cause of Death</b>						
IMMEDIATE CAUSE (Final disease or condition resulting in death)		PART I				APPROXIMATE INTERVAL BETWEEN ONSET AND DEATH
		a. METASTATIC COLON CANCER				
		b. Due to (or as a consequence of)				
		c. Due to (or as a consequence of)				
PART II Enter other significant conditions contributing to death but not resulting in the underlying cause given in PART I						
DID TOBACCO USE CONTRIBUTE TO DEATH?					WAS AN AUTOPSY PERFORMED?	
FEMALE PREGNANCY STATUS					WERE AUTOPSY FINDINGS USED TO COMPLETE CAUSE OF DEATH?	
DATE OF INJURY					MANNER OF DEATH	
TIME OF INJURY		PLACE OF INJURY		INJURY AT WORK?		
LOCATION OF INJURY						
DESCRIBE HOW INJURY OCCURRED					IF TRANSPORTATION INJURY, SPECIFY:	
ATTEND THE DECEASED?	DATE LAST SEEN ALIVE	WAS MEDICAL EXAMINER OR CORONER CONTACTED?		DATE PRONOUNCED	TIME OF DEATH	
CERTIFIER	DATE CERTIFIED					
NAME, ADDRESS AND ZIP CODE OF PERSON COMPLETING CAUSE OF DEATH					PHYSICIAN'S LICENSE NUMBER	

This is to certify that this is a true and correct copy from the official death record filed with the

CHURCHILL & CHURCHILL, P.C.

ATTORNEYS AT LAW

QUAD CITIES IL F&DF

FIRST-



02 1P

0001187751

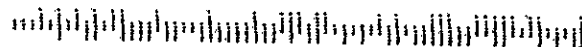
MAILED FROM ZIP CODE

\$ 000.51<sup>00</sup>

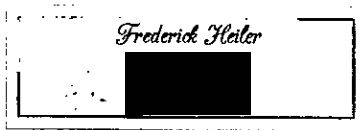
MAY 19 2021

CenturyLink Securities Litigation  
EXCLUSIONS c/o Epiq  
PO Box 2588  
Portland, OR 97208-2588

972082588



## Exclusion Request - 5



Exclusions  
c/o Epig.  
P.O. Box 2588  
Portland, OR  
97208-2588

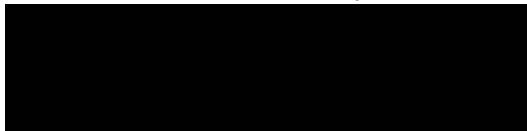
May 23, 2021

To whom it may concern,

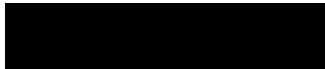
This is a request for exclusion  
from the Class in In re: Century  
Link Sale Practices and Securities  
Litigation, Civil Action No. 18-296  
(MJD/KMM) with my stock

Name: Frederick W. Heiler, Sr TOD  
Michael D. Heiler subject to SFA

Address:



Phone:



Stock: 19 shares common

Frederick W. Heiler, Sr.

Frederick Heiler

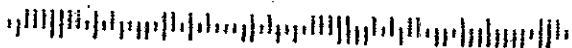


Exclusions  
c/o Epig.

P.O. Box 2588

Portland, OR 97208-2588

97208-258888



# Exclusion Request - 6

May 24, 2021

CenturyLink Sales Practices and Securities Litigation

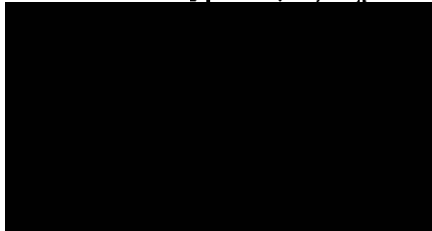
EXCLUSIONS, c/o Epiq

P.O. Box 2588

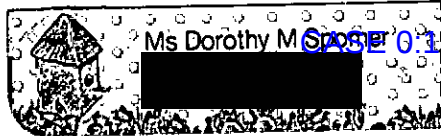
Portland, Oregon 97208-2588

I am requesting exclusion from the Class in re: CenturyLink Sales Practices and Securities Litigation, Civil Action No. 18-296 (MJD/KMM).

*Dorothy M. Spomer, TR*







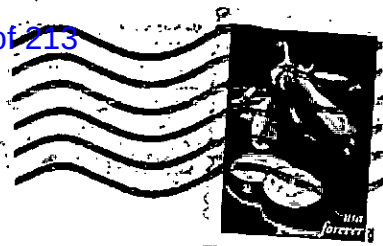
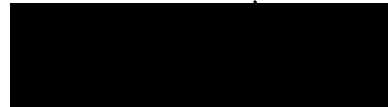
Ms Dorothy M. Spiller

CASE 0:18-cv-00296-MJD-JFD

Doc. 375-1

Filed 07/13/21

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Century Link Sales Practices + Securities Litigation  
EXCLUSIONS, c/o EP'g  
P. O. Box 2588  
Portland, Oregon 97208-2588

97208-258888



# Exclusion Request - 7

May 22, 2021

To: CenturyLink Securities Litigation  
C/O Epiq  
P.O. Box 2588  
Portland, Oregon 97208-2588

From: The Wyne Trust  
Benjamin L. Wyne – Trustee



Subject: Release of Claims and Signature

In reviewing all my investments, I did not find anywhere that I has any dealings with CenturyLink Securities. Please exclude The Wyne Trust and Benjamin L. Wyne – Trustee from the Class.

If you have any questions, please mail to me at my Mesa address.

A handwritten signature in black ink, appearing to read 'Benjamin L. Wyne', written over a horizontal line.

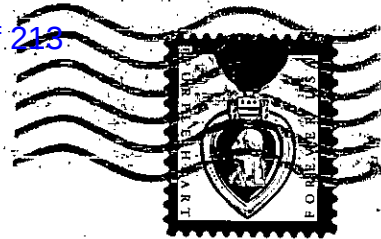
The Wyne Trust

Benjamin L. Wyne - Trustee

**YOUR LEGAL RIGHTS AND OPTIONS IN THE SETTLEMENT**

<b>SUBMIT A CLAIM FORM BY MAIL POSTMARKED NO LATER THAN AUGUST 13, 2021 OR ONLINE NO LATER THAN AUGUST 13, 2021.</b>	<p>This is the only way to be eligible to receive a payment from the Net Settlement Fund. If you are a Class Member and you remain in the Class, you will be bound by the Settlement as approved by the Court and you will give up any Released Plaintiffs' Claims (as defined in ¶ 31 below) that you have against Defendants and the other Defendants' Releasees (as defined in ¶ 32 below), so it is in your interest to submit a Claim Form.</p>
<b>EXCLUDE YOURSELF FROM THE CLASS BY SUBMITTING A WRITTEN REQUEST FOR EXCLUSION SO THAT IT IS RECEIVED NO LATER THAN JUNE 29, 2021.</b>	<p>If you exclude yourself from the Class, you will not be eligible to receive any payment from the Net Settlement Fund. This is the only option that allows you ever to be part of any other lawsuit against any of the Defendants or the other Defendants' Releasees concerning the Released Plaintiffs' Claims.</p>
<b>OBJECT TO THE SETTLEMENT BY SUBMITTING A WRITTEN OBJECTION SO THAT IT IS RECEIVED NO LATER THAN JUNE 29, 2021.</b>	<p>If you do not like the proposed Settlement, the proposed Plan of Allocation, or the request for an award of attorneys' fees and Litigation Expenses, you may write to the Court and explain why you do not like them. You cannot object to the Settlement, the Plan of Allocation, or the fee and expense request unless you are a Class Member and do not exclude yourself from the Class.</p>
<b>PARTICIPATE IN A HEARING ON JULY 20, 2021 AT 11:00 A.M. AND FILE A NOTICE OF INTENTION TO APPEAR SO THAT IT IS RECEIVED NO LATER THAN JUNE 29, 2021.</b>	<p>Filing a written objection and notice of intention to appear by <b>June 29, 2021</b> allows you to speak in Court, at the discretion of the Court, about the fairness of the proposed Settlement, the Plan of Allocation, and/or the request for attorneys' fees and Litigation Expenses. In the Court's discretion, the <b>July 20, 2021</b> hearing may be conducted by telephone or video conference (see ¶¶ 78-79 below). If you submit a written objection, you may (but you do not have to) participate in the hearing and, at the discretion of the Court, speak to the Court about your objection.</p>
<b>DO NOTHING.</b>	<p>If you are a member of the Class and you do not submit a valid Claim Form, you will not be eligible to receive any payment from the Net Settlement Fund. You will, however, remain a member of the Class, which means that you give up your right to sue about the claims that are resolved by the Settlement and you will be bound by any judgments or orders entered by the Court in the Action.</p>

Benjamin L. Wyne



CenturyLink Securities Litigation  
c/o Epig  
P.O. Box 2588  
Portland, Oregon 97208-2588

97208-258888



# Exclusion Request - 8

Glenn T Sonner EXECUTOR



This is a request exculsion from the class inln re :CenturyLink Sales  
Practices and Securities Litigation  
Civil Action No 18-296 (MID/KMM) Lester T Sonner at the time owned 3000  
shares none of witch was traded

in closed is a copy of death certifcate and a copy of the appointment of  
executor

Thank You for your consideration in this matter

Glenn T Sonner EXECUTOR

*Glenn T Sonner* executor

## CERTIFICATE OF DEATH

STATE FILE NUMBER

1. NAME FIRST <b>Lester</b>		MIDDLE <b>T.</b>		LAST <b>Sonner</b>		2. SEX: MALE <input checked="" type="checkbox"/> FEMALE <input type="checkbox"/>		3A. DATE OF DEATH: MONTH <b>09</b> DAY <b>17</b> YEAR <b>2018</b>		3B. HOUR: <b>0000</b>	
4A. PLACE OF DEATH: (Check one)								4B. IF FACILITY, DATE ADMITTED:			
4C. NAME OF FACILITY: (If not facility, give address)								4D. LOCALITY: (Check one and specify)			
4E. COUNTY OF DEATH:											
4F. MEDICAL RECORD NO.				4G. WAS DECEDENT TRANSFERRED FROM ANOTHER INSTITUTION? (If yes, specify institution name, city or town, county and state)							
5. DATE OF BIRTH:				6A. AGE IN YEARS:		6B. IF UNDER 1 YEAR, ENTER:		6C. IF UNDER 1 DAY, ENTER:		7A. CITY AND STATE OF BIRTH: (If not USA, Country and Region/Province)	
										7B. IF AGE UNDER 1 YEAR, NAME OF HOSPITAL OF BIRTH:	
8. SERVED IN U.S. ARMED FORCES? (Specify years)				9. DECEDENT OF HISPANIC ORIGIN? Check the boxes that best describe whether the decedent is Spanish/Hispanic/Latino.				10. DECEDENT'S RACE: Check one or more races to indicate what the decedent considered himself or herself to be.			
11. DECEDENT'S EDUCATION: Check the box that best describes the highest degree or level of school completed at the time of death.											
12. SOCIAL SECURITY NUMBER:				13. MARITAL STATUS:				14. SURVIVING SPOUSE: Enter birth name of spouse if married or separated.			
15A. USUAL OCCUPATION: (Do not enter retired)				15B. KIND OF BUSINESS OR INDUSTRY:				15C. NAME AND LOCALITY OF COMPANY OR FIRM:			
16A. RESIDENCE: (State or Country if not USA)				16B. County or Region/Province if not USA:				16C. LOCALITY: (Check one and specify)			
								16D. IF CITY OR VILLAGE, IS RESIDENCE WITHIN CITY OR VILLAGE LIMITS?			
16E. ZIP CODE:											
17. BIRTH NAME OF FATHER / PARENT: FIRST MI LAST								18. BIRTH NAME OF MOTHER / PARENT: FIRST MI LAST			
19A. NAME OF INFORMANT:				19B. MAILING ADDRESS: (Include zip code)							
20A.				20B. PLACE OF BURIAL, CREMATION, REMOVAL OR OTHER DISPOSITION:				20C. LOCATION: (City or town and state)			
21A. NAME AND ADDRESS OF FUNERAL HOME:								21B. REGISTRATION NUMBER:			
22A. NAME OF FUNERAL DIRECTOR:								22C. REGISTRATION NUMBER:			
23A. DATE FILLED: MONTH DAY YEAR				23B. SERIAL OR REMOVAL PERMIT ISSUED BY:				23C. DATE ISSUED: MONTH DAY YEAR			
ITEMS 25 THRU 33 COMPLETED BY CERTIFYING PHYSICIAN - OR - CORONER/CORONER'S PHYSICIAN OR MEDICAL EXAMINER											
25A. CERTIFICATION: To the best of my knowledge, death occurred at the time, date and place and due to the causes stated.											
Certifier's Name: License No.:											
Certifier's Title:											
25B. If coroner is not a physician, enter Coroner's Physician's name & title: License No.:											
25C. If certifier is not attending physician, enter Attending Physician's name & title: License No.:											
25A. Attending physician attended deceased: Month Day Year											
25B. Decedent last seen alive by attending physician: Month Day Year											
25C. Pronounced dead: Month Day Year											
25D. IF YES, WERE FINDINGS USED TO DETERMINE CAUSE OF DEATH? 0 NO 1 YES											
CONFIDENTIAL SEE INSTRUCTION SHEET FOR COMPLETING CAUSE OF DEATH CONFIDENTIAL											
30. DEATH WAS CAUSED BY: (ENTER ONLY ONE CAUSE PER LINE FOR (A), (B), AND (C).)											
PART I. IMMEDIATE CAUSE:											
(A)											
DUE TO OR AS A CONSEQUENCE OF:											
(B)											
DUE TO OR AS A CONSEQUENCE OF:											
(C)											
PART II. OTHER SIGNIFICANT CONDITIONS CONTRIBUTING TO DEATH BUT NOT RELATED TO CAUSE GIVEN IN PART I (A):											
31A. IF INJURY, DATE: MONTH DAY YEAR											
31B. INJURY LOCALITY: (City or town and county)											
31C. PLACE OF INJURY:											
31D. INJURY AT WORK? NO YES											
31E. IF TRANSPORTATION INJURY, SPECIFY: 1 Driver/Operator 2 Passenger 3 Pedestrian 4 OTHER (specify)											
32A. IF FEMALE: 0 Not pregnant within last year 1 Pregnant at time of death 2 Not pregnant, but pregnant within 42 days of death 3 Not pregnant, but pregnant 43 days to 1 year before death 4 Unknown if pregnant within past year											
32B. DATE OF DELIVERY: MONTH DAY YEAR											

CAUSE OF DEATH

DISPOSITION

CERTIFIER

DECEDENT



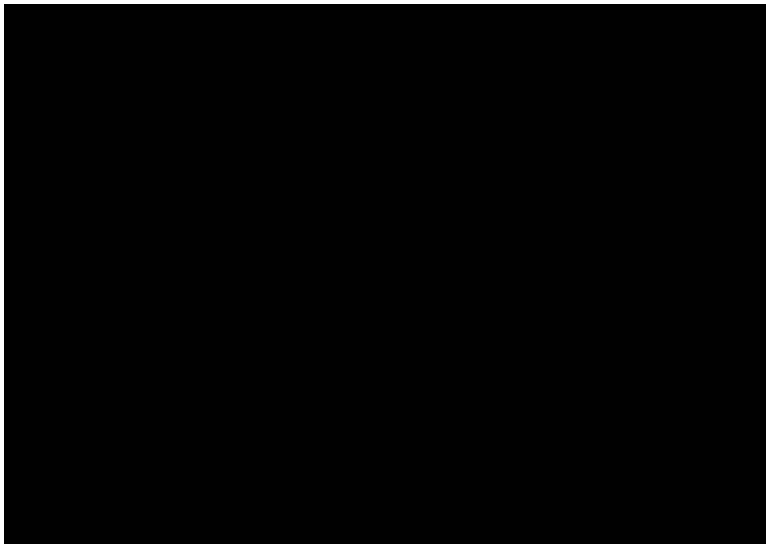
**CERTIFIED COPY**

**DO NOT ACCEPT THIS CERTIFIED COPY UNLESS THE RAISED SEAL OF THE  
REGISTRAR OF THE TOWN OF [REDACTED]  
[REDACTED] IS AFFIXED THEREON.**

**ANY ALTERATION OR ERASURE VOIDS THIS CERTIFIED COPY**

**This is to certify that the information concerning the DEATH of the person named on this  
record is a true and accurate copy of the information recorded on the original local record of  
DEATH on file with the [REDACTED]**

**DATED AT**



**AS-IS***Document Control*

## Certificate of Appointment of Executor

IT IS HEREBY CERTIFIED that Letters for the Estate of the Decedent named below have been granted by this Court, and such Letters are unrevoked, are valid and are in full force as of this date.

Name of Decedent: **Lester T Sonner**

Date of Death:

Domicile:

Fiduciary Appointed: **Glenn T Sonner**

Letters Issued: **LETTERS TESTAMENTARY**

Letters Issued On: **October 18, 2018**

Limitations: **NONE**

THESE LETTERS, granted pursuant to a decree entered by the court, authorize and empower the above-named fiduciary or fiduciaries to perform all acts requisite to the proper administration and disposition of the estate/trust of the Decedent in accordance with the decree and [REDACTED], subject to the limitations and restrictions, if any, as set forth above.

and such Letters are unrevoked and in full force as of this date.

Dated: **October 18, 2018**

IN TESTIMONY WHEREOF, the seal of the [REDACTED]  
[REDACTED] has been affixed.

*This Certificate is Not Valid Without the Raised Seal of the [REDACTED]*



GLENN SONNER

Request for Exclusion from the Class  
Centurylink Securites Litigation  
EXCLUSIONS C/O Epiq  
PO BOX 2588 portland OR 97208-2588

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97208

EXPECTED DELIVERY DAY: 06/04/21

USPS TRACKING® #



9505 5148 6604 1152 7891 49

EP14H August 2020 Outer Dimension: 10 x 5

TRACKED ■ INSURED

- Expected delivery date specified for domestic use.
- Most domestic shipments include up to \$50 of insurance (restrictions apply).
- USPS Tracking® included for domestic and many international destinations.
- Limited international insurance.
- When used internationally, a customs declaration form is required.
- Insurance does not cover certain items. For details regarding claims exclusions see the Domestic Mail Manual at <http://pe.usps.com>.
- See International Mail Manual at <http://pe.usps.com> for availability and limitations of coverage.

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EP14H August 2020  
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## Exclusion Request - 9

CenturyLink Securities Litigation

C/o Equip

PO Box2588

Portland OR 97208-2588

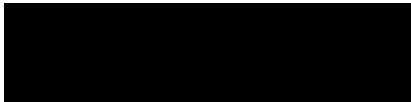
I wish to be excluded from the Class In Re Century Link Sales Practices and Securities Litigation, Civil Action No. 18-296 (MJD/KMM), as I do not have any claim for this period. My transaction was prior to March 1, 2013. I was in error about the exact date of my transaction which was on February 14, 2013 (see enclosure).

I do not own any of this stock anymore.

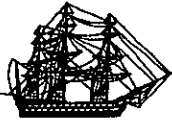
Sincerely,

*Jeanette E. Thornton*  
*June 1, 2021*

Jeanette E. Thornton



February 14, 2013

**Vanguard***file***Vanguard Brokerage Services**

A Division of Vanguard Marketing Corporation, Member FINRA and SIPC

**Brokerage trade confirmation**

JEANETTE E THORNTON



2285

## Brokerage Services

Account number:

Trade date

02/14/2013

Process date

02/14/2013

Settlement date

02/20/2013

Capacity

1

You bought:  
CENTURYLINK INC

Account type

Cash

Please notify Vanguard immediately if this confirmation is not correct.

Trade number	Quantity	Price	Principal	Commission	Service charge	Securities transaction fee	Net amount
29288425	80.00000	\$ 33.0000	\$ 2,640.00	\$ 7.00	\$ 0.00	\$ 0.00	\$ 2,647.00

THIS TRADE WAS UNSOLICITED

We confirm the above trade(s) subject to the terms and conditions detailed on the reverse side, where you can also see explanations of coded symbols. This confirmation is a notice not an invoice. Remittance or securities are due on or before settlement date.

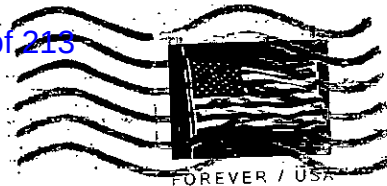
Brokerage assets are held by Vanguard Brokerage Services (VBS), a division of Vanguard Marketing Corporation, member FINRA and SIPC. Your VBS transactions settle through your Vanguard money market account, held separately by Vanguard, or settle in accordance with your employer-sponsored retirement plan.

003294

2285 VBS V1 1



MS JEANETTE E THORNTON

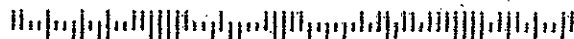


CenturyLink Securities Litigation  
EXCLUSIONS

P.O. Box 2588

Portland OR 97208-2588

97208-258888





## Exclusion Request - 10

Century Link Securities Litigation

4/6 EPI9

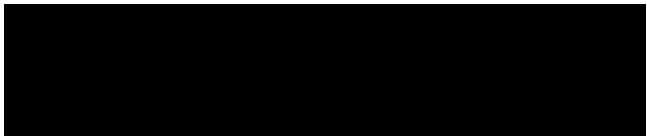
P.O. Box 2588

Portland OR 97208-2588

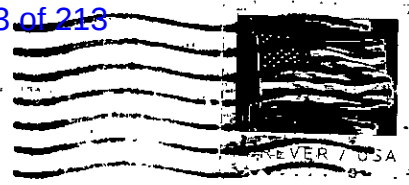
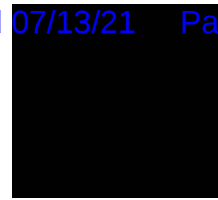
Subject Notice M20 L No. 17-2795 (MJD/KMM) two  
copies received 5/28/2021.

Note: I have no intent to be considered a  
part of this notice and request my name  
be deleted from it.

Conrad Henemann



*Conrad Heenemann*

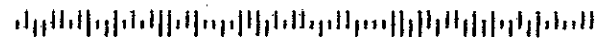


*Century Link Securities Litigation  
% Ep19*

*P.O. Box 2588*

*Portland, OR 97208-2588*

9720832588 8907



## Exclusion Request - 11

June 3, 2021  
Re: Century Link Securities Litigation,

J. Rosemary Mattli, Request  
Exclusion from the Class, addressed  
to Century Link Securities.

Rosemary Mattli



Miss Rosemary C. Mattli



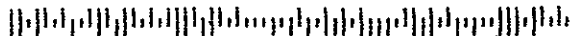
Century Link Securities Litigation  
Exclusions, CPO EPI 9,

P.O. Box 2588

Portland,

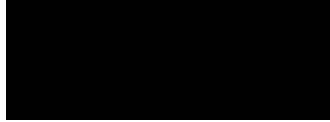
OR. 97208-2588

97208-258888



## Exclusion Request - 12

Mary Lou Yindra



June 2, 2021

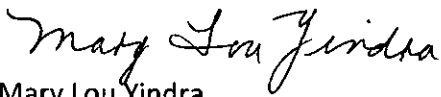
CenturyLink Securities Litigation  
c/o Epiq  
P.O. Box 2588  
Portland, OR 97208-2588

In Re: Civil Action No. 18-296 (MJD/KMM)

To Whom It May Concern:

I wish to be excluded from the above mentioned Civic Action Suit.

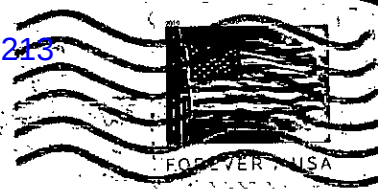
Yours truly,

  
Mary Lou Yindra

mly



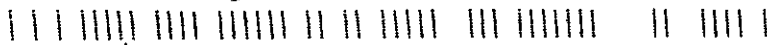
Ms. Mary Lou Yindra



CenturyLink Securities Litigation  
c/o Epiq  
P. O. Box 2588  
Portland, OR 97208-2588

97208-258888





## Exclusion Request - 13

04 June 2021

**CenturyLink Securities Litigation  
EXCLUSIONS**

**c/o Epiq  
P.O. Box 2588  
Portland, OR 97208-2588**

**I Charles A. Ballew, [REDACTED]  
telephone number [REDACTED] am requesting Exclusion  
from the Class in Re: CenturyLink Sales Practices and  
Securities Litigation, Civil Action No. 18-296 (MJD/KMM).**

**On 06/12/2015 I bought 197 shares at \$32.0750 a share.**

A handwritten signature in black ink that reads "Charles A. Ballew". The signature is written in a cursive, flowing style with a long horizontal line extending from the end of the name.

**Charles A. Ballew**

**AS-IS**  
Document  
Control

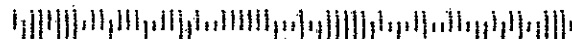
C. A. BALLEW



FOREVER / USA

CENTURY LINK SECURITIES LITIGATION  
EXCLUSIONS  
C/O EPIQ  
P.O. BOX 2588  
PORTLAND, OR 97208-2588

97208-258888



## Exclusion Request - 14

## CenturyLink Securities Litigation

EXCLUSIONS, c/o Epiq

PO Box 2588

Portland, OR 97208-2588

June 2, 2021

Attention:

I, Steven R Schmideler, at [REDACTED] and [REDACTED] requests exclusion from the Class in "In re: CenturyLink Sales Practices and Securities Litigation" Civil Action No 18-296 (MJD/KMM). As of opening of trading on March 1, 2013 I held 59.2933 shares of CenturyLink common stock (CTL) and acquired an additional 22.024 shares of CenturyLink common stock (CTL) in the period to July 12, 2017. Below is the schedule of acquisition date, shares transacted, and share price that corresponds to this the class period.

4/23/2010	16.6399	\$30.53
6/11/2010	0.2533	\$28.31
7/28/2010	33.28	\$30.86
9/24/2010	0.6503	\$33.98
12/17/2010	-0.5448	\$42.24
2/25/2011	0.618	\$37.83
6/16/2011	0.955	\$37.29
9/16/2011	1.112	\$32.36
12/16/2011	1.104	\$33.32
3/16/2012	1.017	\$37.16
6/15/2012	1.073	\$35.80
9/21/2012	0.982	\$40.10
12/21/2012	1.064	\$37.54
3/22/2013	0.924	\$32.49
6/14/2013	0.904	\$33.81
9/19/2013	1.01	\$30.51
12/6/2013	1.082	\$28.86
3/21/2014	1.082	\$29.41
6/20/2014	0.929	\$35.22
9/12/2014	0.87	\$38.32
12/5/2014	0.893	\$37.82
3/20/2015	1.037	\$32.72
6/16/2015	1.137	\$30.16
9/22/2015	1.456	\$23.48
12/8/2015	1.417	\$24.74
3/18/2016	1.237	\$29.28
6/14/2016	1.471	\$24.75
9/16/2016	1.501	\$24.73
12/12/2016	1.694	\$22.15
3/17/2017	1.793	\$21.85

Please process my exclusion from the Class as indicated above.

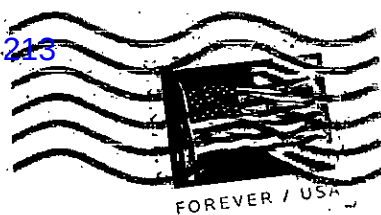
Sincerely,



Steven R. Schmideler



Steven Schmideker



CenturyLink Securities Litigation  
EXCLUSIONS, c/o Epiq  
PO Box 2588  
Portland, OR 97208-2588

97208-258888





## Exclusion Request - 15

## REQUEST FOR EXCLUSION FROM THE CLASS

**RE: CenturyLink Securities Litigation**

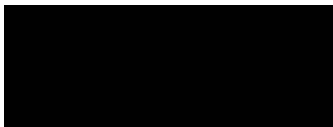
**EXCLUSIONS**

**% Epiq**

**P.O. Box 2588**

**Portland OR 97208-2588**

**FOR: Alan E. Alt**



**Alan E. Alt requests exclusion from the Class in *In re: CenturyLink Sales Practices and Securities Litigation*. Civil Action No.18-296 (MJD/KMM).**

**Alan E. Alt owned no shares of CenturyLink common stock as of the opening of trading On March 1, 2013.**

**Alan E. Alt purchased CenturyLink stock shares:**

**800 CenturyLink Common Stock shares at 36.5050 on July 08, 2014**

**200 CenturyLink Common Stock shares at 37.1265 on February 03 2015**

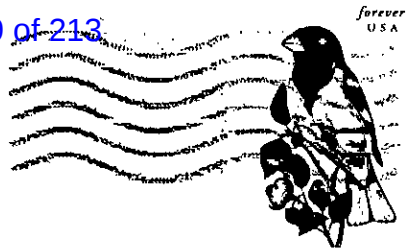
**1000 CenturyLink Common Stock shares at 23.7500 on November 11, 2016**

  
**Alan E. Alt**



Mr. Alan E. Alt

CASE 0:18-cv-00296-MJD-JFD Doc. 375-1 Filed 07/13/21 Page 59 of 213




Rose-Breasted Grosbeak  
2014

CENTURYLINK SECURITIES LITIGATION  
EXCLUSIONS  
c/o Spig  
P.O. BOX 2588  
PORTLAND, OR 97208-2588

5720832588



## Exclusion Request - 16

  
 June 2, 2021

CenturyLink Securities Litigation  
 EXCLUSIONS  
 % Epiq  
 PO Box 2588  
 Portland, OR 97208-2588

**Request for Exclusion from the Class**

To Whom It May Concern:

I, Barbara J Dash, request exclusion from the Class in *In re: CenturyLink Sales Practices and Securities Litigation*, Civil Action No. 18-296 (MJD/KMM).

Name: MSSB CF  
 Barbara J Dash  
 IRA Standard

Address:

Telephone:

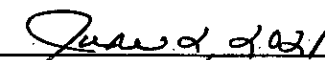
CenturyLink publicly traded common stock owned and purchased/acquired and/or sold during the Class Period from March 1, 2013 through July 12, 2017, inclusive:

Date	Security Type	Quantity	Transaction Description	Price	Total Cost
03/01/2013	Common Stock	0	Position Begin Date		
06/04/2013	Common Stock	200	Bought	\$34.83	\$6,965.40
12/20/2013	Common Stock	200	Sold	\$30.98	\$6,195.69
10/10/2017	Common Stock	0	Position End Date		

This is my written authorization to be excluded from the Class in the CenturyLink Sales Practices and Securities Litigation.

Your immediate attention to this matter is greatly appreciated.

  
 Barbara J Dash

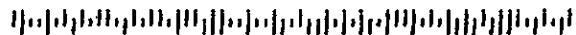
  
 June 2, 2021

Barbara Dash



CenturyLink Securities Litigation  
EXCLUSIONS  
% Epiq  
PO Box 2588  
Portland, OR 97208-2588

97208-258868



## Exclusion Request - 17

[REDACTED]  
June 2, 2021

CenturyLink Securities Litigation  
EXCLUSIONS  
% Epiq  
PO Box 2588  
Portland, OR 97208-2588

**Request for Exclusion from the Class**

To Whom It May Concern:

I, Edward F Dash, request exclusion from the Class in *In re: CenturyLink Sales Practices and Securities Litigation*, Civil Action No. 18-296 (MJD/KMM).

Name: MSSB CF  
Edward F Dash  
IRA Standard

Address: [REDACTED]

Telephone: [REDACTED]

CenturyLink publicly traded common stock owned and purchased/acquired and/or sold during the Class Period from March 1, 2013 through July 12, 2017, inclusive:

Date	Security Type	Quantity	Transaction Description	Price	Total Cost
03/01/2013	Common Stock	0	Position Begin Date		
06/04/2013	Common Stock	300	Bought	\$34.83	\$10,448.10
12/20/2013	Common Stock	300	Sold	\$30.98	\$9,293.53
10/10/2017	Common Stock	0	Position End Date		

This is my written authorization to be excluded from the Class in the CenturyLink Sales Practices and Securities Litigation.

Your immediate attention to this matter is greatly appreciated.

*Edward F. Dash*

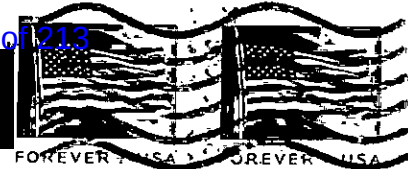
Edward F Dash

*June 2, 2021*

June 2, 2021



Edward Dash  
[Redacted]



CenturyLink Securities Litigation  
EXCLUSIONS  
% Epiq  
PO Box 2588  
Portland, OR 97208-2588

97208-258888



## Exclusion Request - 18

JUNE 4, 2021

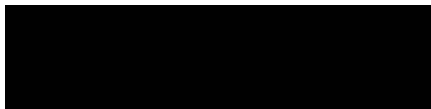
Century Link Securities Litigation handlers,  
Exclude me from the class!

Howard Boersma



P.S. I never had any of this stock.  
At least not to my knowledge. How  
on earth did you think I did?  
Litigious society! Shameful!

Howard Boersma



RE  
Century Link Sales Practices Civil action  
# 18-296 (MJD/KMM)

Boersma



Century Link Securities Litigation, Exclusions

c/o Epiq

P.O. Box 2588

Portland, OR 97208-2588

97208-258888



## Exclusion Request - 19

Fred L. Sitz

06/04/2021  
 Century Link Securities Litigation, Exclusions  
 % Epig, P.O. Box 2588  
 Portland, OR 97208-2588

Subject; Request for Exclusion from class  
 in re: Century Link Sales Practices  
 and Securities Litigation, Civil  
 Action No. 18-296 (MJD/KMM).

Requested By:

Fred L. Sitz, Trustee Ph. [REDACTED]

W/A Dated 01/27/2000

By Mary E. Sitz, ET AL

Spouse to Fred L. Sitz

Mary E. Sitz deceased on 10/26/2018  
 Account transferred to Fred L. Sitz,  
 Owner, effective 10/26/2018

Stock Shares included in above Case:

Century Link Inc. Shares	purchase Date	Sale Date	Selling Proceeds	cost Basis
400 Shares Sold	02/21/13	08/25/14	16,533.47	13,764.35
400 " "	08/19/13	08/25/14	16,533.48	13,112.10

Please refer to Copy of Merrill Lynch Tax statement  
 of 2014 on 7+8 of 9 pages enclosed. Fred L. Sitz, owner

Taxpayer No.

8 of 9

## 2014 TAX REPORTING STATEMENT

**1099-B**                      **2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**                      (OMB NO. 1545-0715)

[illegible]



Account No.

Taxpayer No.

Page  
7 of 9

FRED L SITZ TTEE

## 2014 TAX REPORTING STATEMENT

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2014 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, and Option Transactions and certain debt securities acquired on or after January 1, 2014. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B** **2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** (OMB NO. 1545-0715)

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
-----------------------------	-------------------------	------------------------------	------------	----------------	--------------	-----------------	----------------	---------

**SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2**

**COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code A)**

[REDACTED]								
Covered Short Term Capital Gains and Losses Subtotal								
NET SHORT TERM CAPITAL GAINS AND LOSSES								

**LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2**

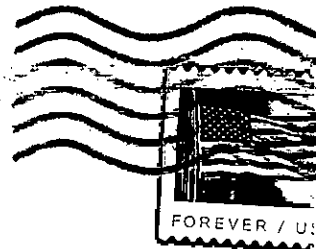
**COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code D)**

CENTURYLINK INC SHS	CUSIP Number	156700106					
200,0000 Sale	01/06/11	08/25/14	8,266.73	9,105.35	0.00	(838.62)	
200,0000 Sale	03/22/11	08/25/14	8,266.73	8,218.45	0.00	48.28	
400,0000 Sale	02/21/13	08/25/14	16,533.47	13,764.35	0.00	2,769.12	
400,0000 Sale	08/19/13	08/25/14	16,533.48	13,110.39	0.00	3,423.09	
Security Subtotal			49,600.41	44,198.54	0.00	5,401.87	

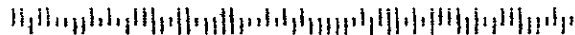
\* Stock Shares included in Case



Mr Fred Sitz



Century Link Securities Litigation, Exclusions  
% Epig  
P.O. Box 2588  
Portland, OR 97208-2588



## Exclusion Request - 20

May 29, 2021

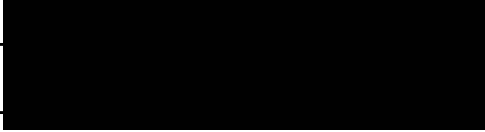
Dear Sir,

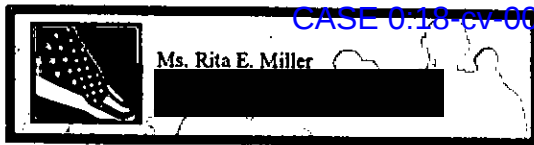
My name is Rita E. Miller and I am  
requesting exclusion from the Class in re:  
CENTURY LINK SALES PRACTICES AND SECURITIES  
LITIGATION, Civil Action No. 18-296 (MJD/KMM).

As of March 1, 2013 through July 12, 2017  
I purchased and still hold the following  
shares of CENTURY LINK INC.

PURCH. DATE	SHARES	PURCH PRICE	TOTAL
1/3/13	425	\$ 39.70	\$ 16,872.50
3/4/13	285	\$ 34.945	\$ 9,959.33

Sincerely Yours,  
Rita E. Miller

PHONE # 



CENTURY LINK SECURITIES LITIGATION, EXCLUSIONS  
% EPIQ. P.O. BOX 2588  
PORTLAND, OR. 97208-2588

97208-258888



**Analyst Name:**

Bethany M.

**Document Received**

**Date:**

06/04/21

## CENTURYLINK SECURITIES

CA40047244

**Related Tracking Numbers:**

[REDACTED]

**Received from email address (if applicable):**

N/A

**Subject Line of email (if applicable):**

N/A

**Instructions for DC:**

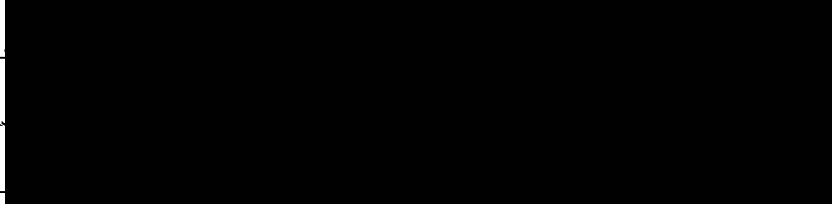
Please have pages 4-5 removed from this document and label as a new Opt Out.

CLAIMS TEAM: The Opt Out needs to be linked to TN [REDACTED]. CS has already been emailed about it, but they will need the doc ID of the new Opt Out.

## Exclusion Request - 21

8 JUNE 2021

① PETER AND ② CHARLOTTE A. KAYAVAS  
REQUEST EXCLUSION



REQUEST EXCLUSION FROM THE CLASS IN  
IN RE: CENTURYLINK SALES PRACTICES  
AND SECURITIES LITIGATION, CIVIL ACTION  
NO. 18-296 (MJD/KMM).

142 SHARES CENTURYLINK INC COM

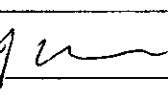
TRADE DATE 03/13/2015

FOR

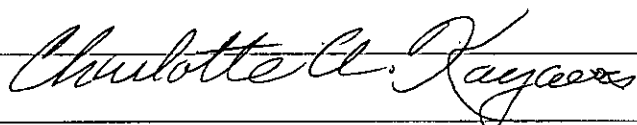
SETTLEMENT 03/18/2015  
DATE

34.3150 / SHARE

4,872.73 PRINCIPAL AMOUNT

Peter K.  
SINCERELY 

① PETER KAYAVAS



② CHARLOTTE A. KAYAVAS

Peter Kayavas



CENTURYLINK SECURITIES, EXCURSIONS  
C/O EPIQ  
P.O. Box 2588  
PORTLAND, OREGON 97208-2588

97208-258888





## Exclusion Request - 22

June 3, 2021

CenturyLink Securities Litigation  
EXCLUSIONS  
c/o Epiq  
P.O. Box 2588  
Portland, OR 97208-2588

Sally S Lownsbery

[REDACTED]

Phone No. [REDACTED]

Dear CenturyLink Securities Litigation EXCLUSIONS,

I, Sally S Lownsbery, request exclusion from the Class in *In re: CenturyLink Sales Practices and Securities Litigation*, Civil Action No. 18-296 (MJD/KMM).

March 1, 2013 – ZERO shares held

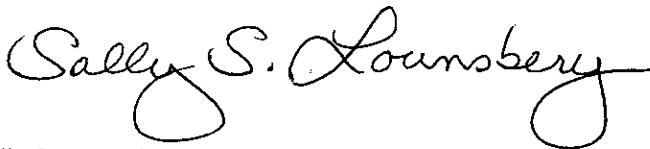
August 15, 2013 – PURCHASED 300 shares at \$33.6200 per share

July 29, 2014 – SOLD 300 shares at \$43.5300 per shares

July 12, 2017 – ZERO shares held

Thank you in advance for excluding me from this class action.

Sincerely,

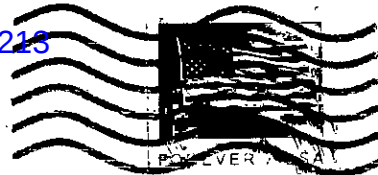
A handwritten signature in black ink that reads "Sally S. Lownsbery". The signature is written in a cursive, flowing style with a large loop at the end of the last name.

Sally S. Lownsbery

L

Sally Lownsbery

CASE 0:18-cv-00296-MJD-JFD Doc. 375-1 Filed 07/13/21 Page 83 of 213



CenturyLink Securities Litigation  
Exclusions

c/o Epig  
P.O. Box 2588

Portland, OR 97208-2588

97208-258888



## Exclusion Request - 23

June 7, 2021

Century Link Securities Litigation, Exclusions  
c/o Epiq, Post Office Box 2588  
Portland OR 97208-2588

To Whom It May Concern-

This is to advise you that Marie L. Skoumal is deceased from old age and as the Independent Executor of her estate, I wish to exclude her from this Class Action Suit.

1. Executor requesting exclusion: William J. Skoumal, Jr. /  
[REDACTED] / Phone # [REDACTED].

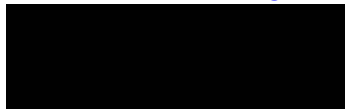
Respectfully,

*William J. Skoumal, Jr.*

[REDACTED]



William J Skoumal



CENTURY LINK SECURITIES LITIGATION

c/o EPIQ

POST OFFICE BOX 2588

PORTLAND OR 97208-2588

97208-258888



# Exclusion Request - 24

**MRS. ANNAMARIA F. DEMIRIS**



June 7, 2021

RE: CenturyLink Securities Litigation

To Whom It May Concern:

Please be advised that there is no evidence of any holdings reflected in my account for CenturyLink, Inc. during the period of March 1, 2013 through July 12, 2017. Therefore, I wish to be excluded from the above-mentioned Class Action suit.

Thank you for your attention to this matter.

Sincerely,

A handwritten signature in cursive script that reads "Annamaria F. Demir".

Annamaria F. Demir



Mrs. Annamaria F. Demiris



CenturyLink Securities Litigation, EXCLUSIONS  
c/o Epiq  
P.O. Box 2588  
Portland, Oregon 97208-2588

97208\$2588 B907



## LAST WILL AND TESTAMENT OF GRACE N. PROCTOR - Page 3

We, Wayne S. Boyette and Nancy Bolton, the witnesses, being first duly sworn, sign our names to this instrument, and do hereby declare to the undersigned authority that the Testatrix signs and executes this instrument as her Last Will and Testament, and that she signs it willingly, and that each of us, in the presence and hearing of the Testatrix, hereby signs this Will as witness to the Testatrix's signing, and to the best of our knowledge the Testatrix is eighteen years of age or older, of sound mind, and under no constraint or undue influence.

NAMESADDRESSESWayne S. Boyette

Tarboro, North Carolina

Nancy Bolton

Tarboro, North Carolina

NORTH CAROLINA

EDGEcombe COUNTY

Subscribed, sworn to and acknowledged before me by Grace N. Proctor, the Testatrix and subscribed and sworn to before me by Wayne S. Boyette and Nancy Bolton, the witnesses, this 4th day of April, 2011.

(Official Seal)

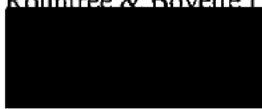


Cynthia P. Flint  
Signature of Notary Public

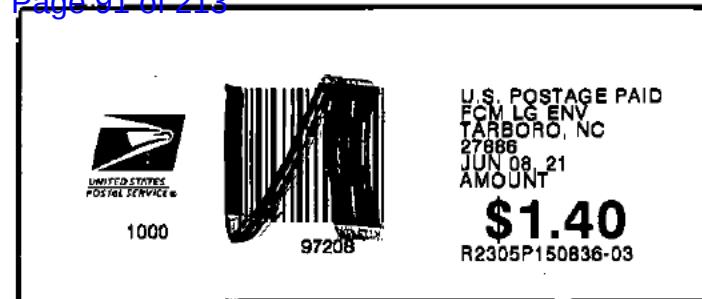
Cynthia P. Flint, Notary Public  
(Printed or typed name)

My commission expires: 5-15-2015

This instrument prepared by:  
Wayne S. Boyette  
Rountree & Boyette LLP



James T Proctor



Centurylink Securities Litigation, EXCLUSIONS<sub>1</sub>  
c/o Epig, P.O. Box 2588  
Portland, OR 97208-2588

## Exclusion Request - 25

Dear Sir/Madam

I am requesting that Grace N. Proctor be excluded from the Class claim re: CenturyLink Sales Practice and Securities Litigation, Civil Action No. 18-296 (MSD/KMM; Shares owned as of March 1, 2013 was 343,81338, No shares were purchased/sold during period March 1, 2013 through July 12, 2017.

The owner Grace N. Proctor passed away on 18 November 2019 (Attached Death Certificate) and account was changed over to Death Beneficiary named on account at that time.

I am the Executor of Grace N. Proctor's estate, (Attached Will enclosed). Hope the above information and attached Documents will satisfy requested information.

Thanking You

James Proctor  
EXECUTOR



PostalAnnex+

www.postalannex.com/12007

Manila Envelope 9x12	0.40 TX
SUBTOTAL	0.40
TAX	
State Tax on 0.40	0.03
TOTAL	0.43
TEND Cash	1.00
CHANGE	0.57

Total shipments: 0

Customer: None selected

06/07/2021

#149255

10:23 AM

Workstation: 8 - AUX 2

\*\*\*\*\*

We Print, Copy and Ship.

Email your files to:

pal2007@postalannex.com

Thank you for your business

\*\*\*\*\*

## CERTIFICATION OF VITAL RECORD

STATE OF NORTH CAROLINA  
EDGECOMBE COUNTY  
OFFICE OF REGISTER OF DEEDSNORTH CAROLINA DEPARTMENT OF HEALTH AND HUMAN SERVICES  
N.C. VITAL RECORDS  
CERTIFICATE OF DEATHREGISTRATION  
DISTRICT NO. 033-70

LOCAL NO.

COUNTY OF DEATH Edgecombe

STATE FILE NO.

DECEDENT'S LEGAL NAME

TYPE/PRINT IN  
PERMANENT  
BLACK, BLUE,  
BLACK OR  
BLUE INK

1a. FIRST

Grace

1b. MIDDLE

Virginia

1c. LAST

Proctor

1d. SUFFIX

1e. LAST NAME PRIOR TO FIRST

MARRIAGE  
Nichols

Grace Virginia Proctor

NAME OF DECEDENT (For use by Physician, Institution or Medical Examiner)

Medical Examiner: After the medical examiner's completion and signature, this form is to be filed with the local health department. It is not to be used for burial, cremation, transportation or removal from the state. A copy of this form serves as a Burial/Cremation Permit.

MEDICAL  
EXAMINER  
ONLYRevised For  
1872  
Revised 11/2017  
N.C. VITAL RECORDSVolume 105 Page —

This is to certify that this is a true and correct reproduction or abstract of the official record filed in this office.

033- 017048

Witness my hand and official seal

this the 26 day of November 2019 By: Robin W. BraswellRobin W. Braswell  
Register of Deeds  
Edgecombe County

Deputy / Assistant Register of Deeds

ANY ALTERATION OR ERASURE VOIDS THIS CERTIFICATE

Any alteration or erasure voids this certificate. Do not accept unless on security paper with Register of Deeds seal clearly embossed in left corner.

# Last Will and Testament

OF  
GRACE N. PROCTOR

FILED  
2019 NOV 25 A 8:36  
EDGECOMBE CO., C.S.C.  
BY

I, Grace N. Proctor, of [REDACTED] declare this to be my Last Will and Testament hereby revoking any and all Wills and Codicils heretofore made by me.

## ARTICLE I

I direct that all of my just debts, funeral expenses, all taxes payable as a result of my death, and the cost of administration of my estate be paid out of the assets of my estate as soon as practicable after my death.

## ARTICLE II

I will, devise and bequeath to my beloved husband, James T. Proctor, a life estate in the household furnishings and other contents of my home at [REDACTED] to be used for his comfort and convenience until the said life estate shall terminate. The remainder interest in said property I will and bequeath to my children as provided in Article III hereinbelow as if my said husband had predeceased me.

## ARTICLE III

In the event my husband, James T. Proctor, predeceases me, or in the event he and I die under such circumstances that there is not sufficient evidence to determine the order of our deaths, or if he shall die within a period of six months after the date of my death, then all bequests and provisions herein to his shall be void; and upon the happening of such contingencies, I hereby will, devise and bequeath my property wheresoever the same may be situated, after payment of all debts, costs of administration, funeral and burial expenses and taxes of every kind and description which may be assessed by reason of my death as follows:

- A. To my step-son, James R. Proctor, the house and lot located at [REDACTED] [REDACTED] the dining room set, any motor vehicle and any trailer which I may own at the time of death.
- B. To my step-daughter, Sylvia Rivera, all my china.
- C. All the rest, residue and remainder of my estate, real and personal, tangible and intangible, wheresoever the same may be situated to my step-children, James R. Proctor and Sylvia Rivera, to be theirs

Grace N. Proctor  
Grace N. Proctor



## LAST WILL AND TESTAMENT OF GRACE N. PROCTOR - Page 2

absolutely and in fee simple forever, share and share alike, *per stirpes*.

## ARTICLE IV

I hereby grant to my Executor or Executrix named in this Will the continuing absolute and discretionary power to deal with any property held in my estate as freely as I might in the handling of my own affairs, including full power and authority to sell, transfer and convey any property, real or personal, which I may own at the time of my death, at such time and price, and upon such terms and conditions, as they may determine, and to do every other act and thing necessary and appropriate for the complete administration of my estate. Without in any way limiting the generality of the foregoing provision, and subject to N. C. Gen. Stat. § 32-26, I hereby grant to my Executor or Executrix all of the powers set forth in N. C. Gen. Stat. § 32-27, and these powers are incorporated herein by reference and made a part of this instrument, and such powers are intended to be in addition to and not in substitution of the powers conferred by law.

## ARTICLE V

I appoint my husband, James T. Proctor, as Executrix of this my Last Will and Testament. In the event he fails to qualify as Executor, or is for any reason unable to complete his service, I appoint my step-son, James R. Proctor, as Executor to serve in his place and stead with the same powers and authority as herein granted to James T. Proctor as Executrix. I direct that no bond or other undertaking shall be required of any person so named for the faithful performance of their duties as Executrix or Executor hereunder.

IN WITNESS WHEREOF, I, Grace N. Proctor, sign my name to this instrument this 4<sup>th</sup> day of April, 2011, and being first duly sworn, do hereby declare to the undersigned authority that I sign and execute this instrument as my Last Will and Testament, and that I sign it willingly, that I execute it as my free and voluntary act for the purposes therein expressed, and that I am eighteen years of age or older, of sound mind, and under no constraint or undue influence, and that I have also signed the foregoing one typewritten page for the purpose of identification.

Grace N. Proctor

Grace N. Proctor, Testatrix

(SEAL)

## LAST WILL AND TESTAMENT OF GRACE N. PROCTOR - Page 3

We, Wayne S. Boyette and Nancy Bolton, the witnesses, being first duly sworn, sign our names to this instrument, and do hereby declare to the undersigned authority that the Testatrix signs and executes this instrument as her Last Will and Testament, and that she signs it willingly, and that each of us, in the presence and hearing of the Testatrix, hereby signs this Will as witness to the Testatrix's signing, and to the best of our knowledge the Testatrix is eighteen years of age or older, of sound mind, and under no constraint or undue influence.

NAMESADDRESSES

Wayne S. Boyette

Tarboro, North Carolina

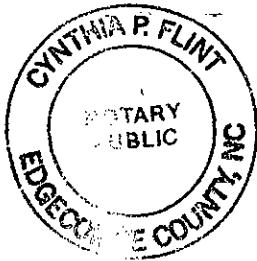
Nancy Bolton

Tarboro, North Carolina

NORTH CAROLINA

EDGECOMBE COUNTY

Subscribed, sworn to and acknowledged before me by Grace N. Proctor, the Testatrix and subscribed and sworn to before me by Wayne S. Boyette and Nancy Bolton, the witnesses, this 4th day of April, 2011.



(Official Seal)

Cynthia P. Flint  
Signature of Notary Public

Cynthia P. Flint, Notary Public  
(Printed or typed name)

My commission expires: 5-15-2015

This instrument prepared by:  
Wayne S. Boyette  
Rountree & Boyette LLP



James T Proctor



1000



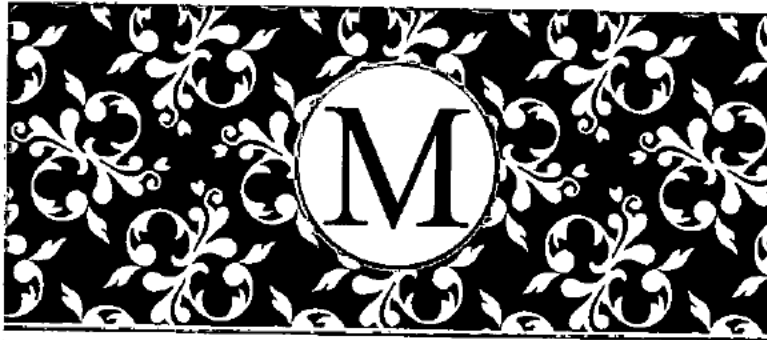
U.S. POSTAGE PAID  
FCM LG ENV  
TARBORO, NC  
27886  
JUN 08, 21  
AMOUNT

**\$1.40**

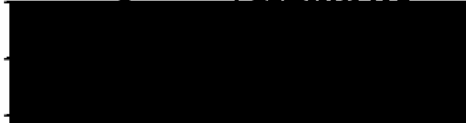
R2305P150836-03

Centurylink Securities Litigation, EXCLUSIONS  
c/o Epig, P.O. Box 2588  
Portland, OR 97208-2588

## Exclusion Request - 26



MaryEllen Haberny



CenturyLink Sales Practices  
& Security Litigation  
Civil Action #18-296  
(MJD/KMM)

I don't know number  
shares or value

Please exclude  
me from this

Thank you,  
Mary Ellen Haberny

My Previous address



Mary Ellen Haberny

9 JUN 2021 PM 4 L



Exclusions % Epig

P.O Box 2588

Portland, OR 97208-2588

97208-258888



## Exclusion Request - 27

To Whom it may concern!  
As POA ~~extra~~ for



\*\*\*\*\*AUTO\*\*5-DIGIT 97520

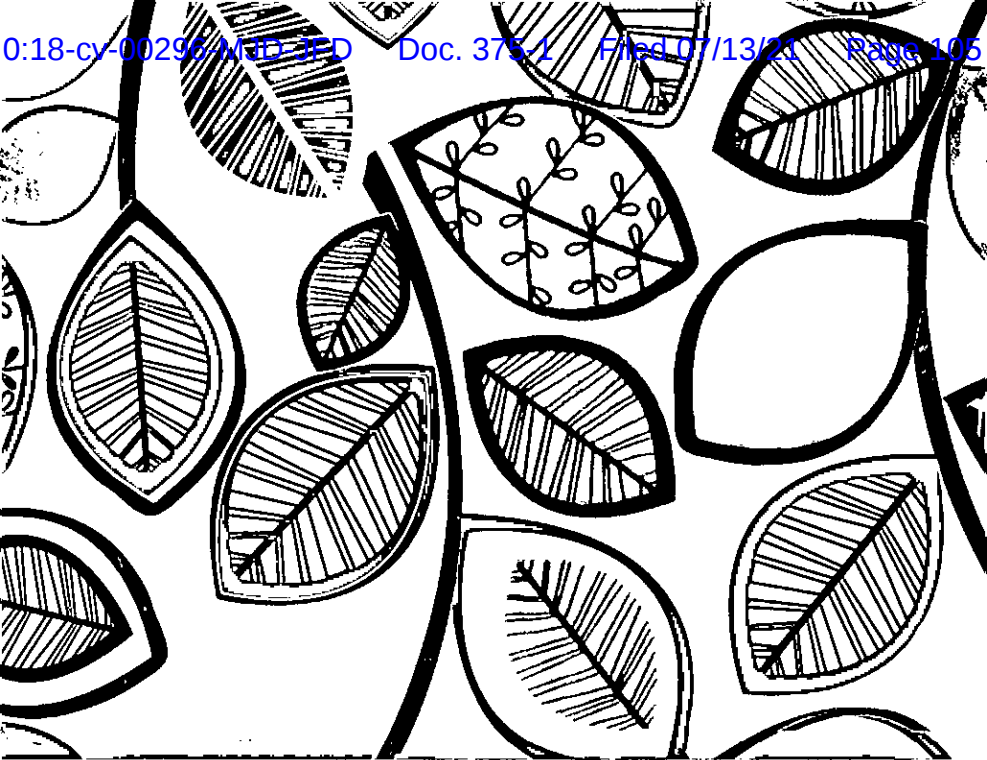
CAROLYN C RESPESS



I wish to inform you she passed  
away July 1, 2019 and her estate  
was completed July 1, 2020! Please  
exclude her!

Judith Kloetzel





*Expressions*

FROM

  
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PM 1 T



Century Link Securities Litigation  
EXCLUSIONS  
c/o EPIQ  
PO Box 2588  
Portland, OR 97208-2588



## Exclusion Request - 28



6-9-21

I AM REQUESTING  
EXCLUSION FROM CLASS

HELEN VAN GORDER

IN RE: CENTURYLINK  
SALES PRACTICES AND  
SECURITIES LITIGATION  
CIVIL ACTION NO. 296  
(MJD/KMN)

Helen Van Gorder

NOTES

Mrs Helen Vangorder

9 JUN 2021 PM 7 L



CENTURYLINK SECURITIES LITIGATION  
EXCLUSIONS c/o EPIQ.  
P.O. Box 2588  
PORTLAND, OR 97208-2588

97208-258888



## Exclusion Request - 29

June 12, 2021

From: Michael D. and Mary Cecilia Mukai  
[REDACTED]

To: Century Link Securities Litigation Civil Action No. 18-296 (MJD/KMM)

We, Michael D. and Mary C. Mukai, [REDACTED] and telephone number [REDACTED] request exclusion from the Class In re: CenturyLink Sales Practices and Securities Litigation, Civil Action No. 18-296 (MJD/KMM).

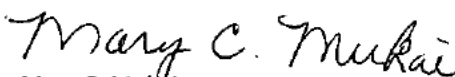
Our financial advisor (Jeff Crabb of Edward Jones in Seattle, Washington) has indicated that we held only 17 shares of common stocks during the period of March 1, 2013 and July 12, 2017. We understand and conclude that any financial settlement that may be awarded to us is not worth the effort to pursue; therefore, please exclude us from this litigation.

Thank you.

Sincerely yours,



Michael D. Mukai  
June 12, 2021



Mary C. Mukai  
June 12, 2021

14 JUN 2021 PM 1 L



Centurylink Securities Litigation, Exclusions  
c/o Efig  
P.O. Box 2588  
Portland, Oregon 97208-2588

97208-258888





## Exclusion Request - 30

Century Link Securities Litigation  
EXCLUSIONS  
c/o Epiq  
P.O Box 2588  
Portland, Oregon 97208-2588

June 13, 2021

I, Judith L McLaren at



Request exclusion from the Class re: CenturyLink Sales and Practices and Securities Litigation Civil Action No. 18-296 (MJD/KMM).

I owned 3 shares of CenturyLink common shares of stock from March 1, 2013 through July 12, 2017 inclusive. They were acquired in the merger from Qwest of which I held 6 shares. Due to the small number of shares held, I do not wish to be a member of this class action suit.

Respectfully yours,

A handwritten signature in cursive script that reads "Judith L. McLaren".

Judith L McLaren

Mrs. Judith McLaren

CASE 0:18-cv-00296-MJD-JFD Doc. 375-1

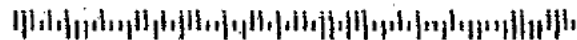
Filed 07/13/21 Page 115 of 213

14 JUN 2021 PM 9 1



Century Link Securities Litigation  
EXCLUSIONS  
c/o Epiq  
P.O. Box 2588  
Portland, Oregon 97208-2588

97208-2588688



# Exclusion Request - 31

CenturyLink Securities Litigation

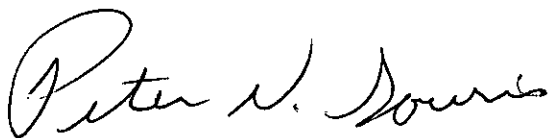
6/14/21

% Epiq

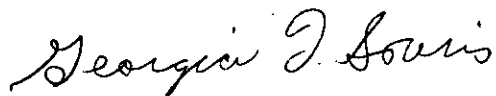
P.O. Box 2588

Gentlemen:

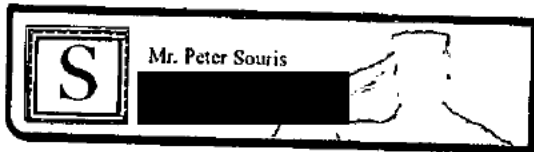
We wish to be excluded from Civil Action No. 18-296(MJD/KMM).

A handwritten signature in cursive script that reads "Peter N. Souris".

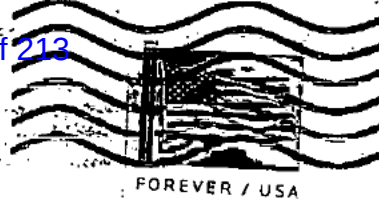
Peter N. Souris

A handwritten signature in cursive script that reads "Georgia F. Souris".

Georgia F. Souris



15 JUN 2021 PM 4 L



Century Link Securities Litigation  
2 Ep; Q  
P.O. Box 2588  
Portland, OR 97208-2588

97208-258888



## Exclusion Request - 32

SYLVIA HUDSON LIVING TRUST



CenturyLink Securities Litigation

EXCLUSIONS %Epiq,

P. O. BOX 2588

Portland, OR 97208-2588

To whom it may concern:

Please exclude me from the CenturyLinks Securities Litigations, EXCLUSIONS.

CLASS IN RE: CenturyLink Sales Practices and Securities Litigation, Civil Action

No. 18-296 (MJD/KMM).

I do not have the information you request because we no longer own these shares.

Please verify this request.

Sincerely,

Sylvia Hudson

A handwritten signature in cursive script that reads "Sylvia Hudson".



15 JUN 2021 PM 6 L



CenturyLink Securities Litigation  
EXCLUSIONS % E~~B~~19.

P.O Box 2588

Portland, OR

97208-2588

97208-258888



## Exclusion Request - 33

June 14, 2021

Stanley Schade-expired 6/15/2019

Century Link Securities Litigation, EXCLUSIONS  
% Epiq.  
PO Box 2588  
Portland, OR 97208-2588

Dear Sirs:

I, Carol A. Schade and Stanley C. Schade's P.R. request exclusion from the Class in In re: Century Link Sales Practices and Securities Litigation, Civil Action No. 18-296(MJD/KMM). We held 15 shares of publicly traded Century Link common stock. These shares were held prior to the March 1, 2013 date and the 15 shares were sold June 12, 2017. No transactions were between the dates of the class action.

Sincerely Yours,

contact person:  
Carol A. Schade:

*Carol A. Schade*

Stanley C. Schade by PR.

*Stanley C. Schade by*  
*Carol A. Schade, P.A.*

Enclosure of P.R.

STATE OF SOUTH DAKOTA  
COUNTY OF ROBERTS

IN CIRCUIT COURT  
FIFTH JUDICIAL CIRCUIT

Estate of

STANLEY C. SCHADE

54PRO19- 17

Deceased

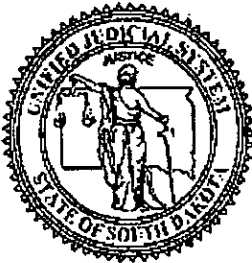
**LETTERS OF PERSONAL REPRESENTATIVE**

On the 2 day of August 2019, Carol A. Schade was appointed and qualified as personal representative of the estate of Stanley C. Schade.

These Letters are issued as evidence of the appointment, qualification, and authority of Carol A. Schade to do and perform all acts authorized by law.

BY THE COURT:

Appointed and Issued: 8/2/2019 by: /s/ Brenda Guy, Clerk/Deputy



State of South Dakota } ss  
County of Roberts }  
I, Cindy Marohl, Clerk of the Circuit Court, do  
hereby certify that the within and foregoing is  
a full, true and complete copy of the original  
instrument, as the same appears on file in this  
office. The same is in full force and effect.  
Dated this 12 day of Sept 19

Cindy Marohl, Clerk of Courts, Roberts County  
By Brenda Guy Deputy

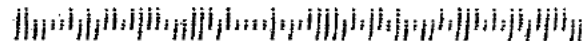
Ms. Carol Schade

15 JUN 2021 PM 2 T



Century Link Securities Litigation, EXCLUSIONS  
% EPIQ  
PO Box 2588  
Portland OR 97208-2588

9720832588



# Exclusion Request - 34

June 17, 2021

Emily M. Clayton

[REDACTED]

[REDACTED]

I am requesting exclusion from the Class.  
MDL # 17-2795 (MJD/KMM)  
Relates to Civil Action #18-296 (MJD/KMM)

Emily M. Clayton

**UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA**

---

IN RE: CENTURYLINK SALES  
PRACTICES AND SECURITIES  
LITIGATION

MDL No. 17-2795 (MJD/KMM)

This Document Relates to:  
Civil Action No. 18-296 (MJD/KMM)



CenturyLink Securities Litigation  
c/o Epiq  
P.O. Box 2588  
Portland, OR 97208-2588

**Important Time-Sensitive Document**



00328407



JOB# N58472 - 010

PRESORTED FIRST CLASS  
U.S. POSTAGE PAID



12#

*No longer with  
Edward Jones*



\*\*\*\*\*AUTO\*\*5-DIGIT 87068

EMILY M CLAYTON TTEE

U/A DTD 05/06/2005

R DALLAS & EMILY M CLAYTON TR

RICHARD D CLAYTON



07



CenturyLink Securities Litigation  
c/o Epiq  
P.O. Box 2588  
Portland, OR 97208-2588



**Important Time-Sensitive Document**

00328408



JOB# N58472 - 010

PRESORTED FIRST CLASS  
U.S. POSTAGE PAID



12#



\*\*\*\*\*AUTO\*\*5-DIGIT 87068

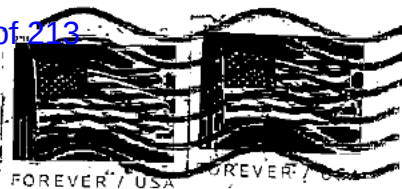
EDWARD D JONES & CO CUSTODIAN  
FBO R DALLAS CLAYTON IRA

deceased



08

18 JUN 2021 PM 2 1



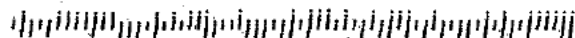
CenturyLink Securities Litigation  
Exclusions

c/o Epic, P.O. Box 2588

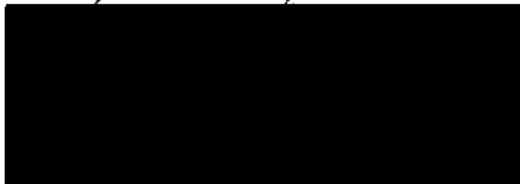
Portland, Or.

97208-2588

97208-258888



Emily M. Clayton



1 1111 1 1 11111 1111 11 11 1111 1111 11 1111 1

## Exclusion Request - 35

**Carolyn H. Lane**



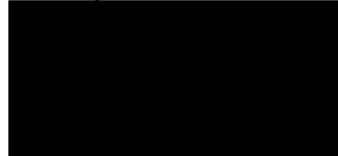
June 16, 2021

CenturyLink Securities Litigation, EXCLUSIONS  
c/o Epiq P.O. Box 2588  
Portland, OR 97208-2588

To whom it may concern:

Subject: Request for Exclusion

Carolyn H. Lane REV TR  
Carolyn H. Lane TTEE



Carolyn H. Lane requests exclusion from the Class in *In re: CenturyLink Sales Practices and Securities Litigation*, Civil Action No. 18-296 (MJD/KMM).

**Bought:**

9/26/11 300 shares at \$34.470 = \$10,341.00

10/31/13 300 shares at \$33.395 = \$10,018.50

**Sold:**

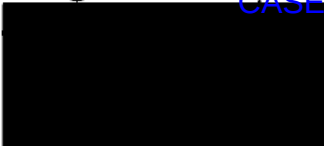
12/16/14 600 shares at \$38.885 = \$23,331.00

Sincerely,

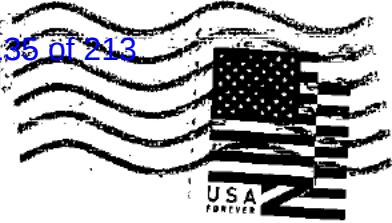
A handwritten signature in cursive script, appearing to read "Carolyn H. Lane".

Carolyn H. Lane

Lane

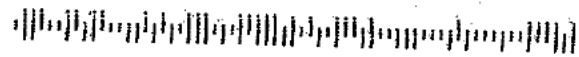


16 JUN 2021 PM 6 L



CenturyLink Securities Litigation, EXCLUSIONS  
% Epig  
P.O. Box 2588  
Portland, OR 97208-2588

97208-258888



## Exclusion Request - 36



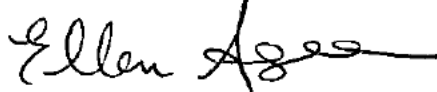
June 4, 2021

CenturyLink Securities  
Litigation Exclusions  
C/O Epiq  
P.O. Box 2588  
Portland, OR  
97208-2588

To Whom It May Concern:

Please Exclude me from the Class.

Sincerely, Ellen Agee

A handwritten signature in black ink that reads "Ellen Agee". The signature is written in a cursive style with a long horizontal stroke at the end.



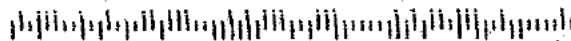
Ellen Agee

04 JUN 2021 PM 3:1

FOREVER / USA

Centurylink Securities Litigation, Exclusions  
40 Epig  
PO Box 2588  
Portland OR  
97208-2588

97208-258866



## Exclusion Request - 37

TO:

CENTURYLINK SECURITIES LITIGATION  
EXCLUSIONS, C/O EPIQ  
P.O. BOX 2588  
PORTLAND, OR 97208-2588

TO WHOM IT MAY CONCERN:

I, REBECCA L. STARR REQUEST FOR  
EXCLUSION FROM THE CLASS. I HAVE NO  
ACCESS TO THE NUMBER OF STOCK TRANSACTIONS  
PUBLICLY TRADED CENTURYLINK COMMON STOCK!

[REDACTED]  
[REDACTED], WAS THE COMPANY  
THAT SERVICED THE STOCK ACCOUNT. (IRA)  
LIQUIDATED ALL STOCKS AND SENT A  
DISBURSEMENT CHECK TO SPACE COAST  
CREDIT UNION, [REDACTED]  
ROLLOVER IRA ACCOUNT. [REDACTED]  
[REDACTED]

MY NAME, ADDRESS, TELEPHONE  
NUMBER AND SIGNATURE ARE BELOW:

REBECCA L. STARR



CASE NO. RE: CENTURY LINK SALES  
PRACTICES AND SECURITIES LITIGATION  
CIVIL ACTION NO. 18-296 (MJD/KMM).

SINCERELY,  
Rebecca L. Starr

P.S. THANK YOU FOR YOUR ASSISTANCE  
IN THIS MATTER!

REBECCA L STARR

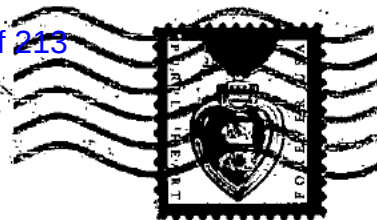
CASE 0:18-cv-00296-MJD-JFD

Doc. 375-1

Filed 07/13/21

Page 142 of 213

21 JUN 2021 PM 4 L



CENTURYLINK SECURITIES LITIGATION  
EXCLUSIONS, C/O EPIQ  
P.O. BOX 2588  
PORTLAND, OR 97208-2588

57206-258888

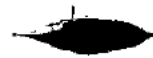


## Exclusion Request - 38

6-21-2021

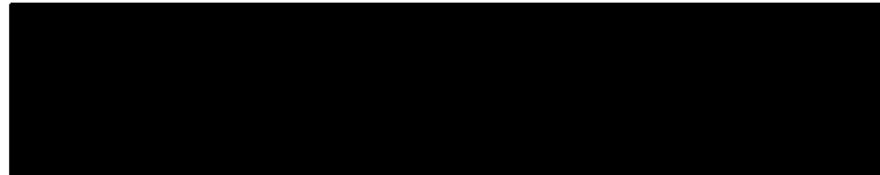
CENTURY LINK SECURITIES  
c/o Epiq  
P.O. Box 2588  
PORTLAND, OR 97208-2588

DEAR PEOPLE



I AM EXCLUDING MYSELF  
FROM CLASS ACTION AND  
PROPOSED SETTLEMENTS.

EDWARD D JONES & CO CUSTODIAN  
FBO CHARMEY C. KRAFT IRA



*Edward D. Jones*



CENTURY LINK SECURITIES LITIGATION

CASE 0:18-cv-00296-MJD-JFD

Doc. 375-1

Filed 07/13/21

Page 145 of 213

c/o Epiq

P.O. Box 2588

PORTLAND, OR 97208-2588

21 JUN 2021 PM 11 L

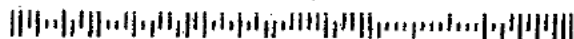


CENTURY LINK SECURITIES LITIGATION  
EXCLUSIONS c/o EPIQ

P.O. Box 2588

PORTLAND, OR 97208-2588

97208-258888



## Exclusion Request - 39

MCGRATH LIVING TRUST  
U/A DTD 04/13/2001  
GERALD R MCGRATH  
MARGARET ANN MCGRATH TTEES  
[REDACTED]

JUNE 23, 2021

CenturyLink Securities Litigation  
EXCLUSIONS c/o Epiq.  
P.O. Box 2588  
Portland, OR. 97208-2588


The MCGRATH LIVING TRUST U/A Dated April 13, 2001 [REDACTED]  
[REDACTED] "requests (demands) exclusion from the class  
in, In re: CenturyLink Sales Practices and Securities Litigation, Civil Action No. 18-296  
(MJD/KMM)". This request is submitted and authorized by the trustors/trustees Gerald R.  
McGrath and/or Margaret Ann McGrath [REDACTED]  
[REDACTED] This request entails the entire trust's holding of 500 shares of CenturyLink  
common stock bought 09/01/2016 @ \$27.58 per share (total transaction: \$13,794.00 + comm.)

Signed GERALD R. MCGRATH (TTE) *Gerald R McGrath*

Signed MARGARET ANN MCGRATH (TTE) *Margaret Ann McGrath*

Enclosures ;

- A) Scottrade order confirmation
- B) Scottrade transaction confirmation
- C) Scottrade monthly statement from Oct. 2017 showing CTL ownership
- D) Memorandum/Certificate of trusty

 Send to printer

**Scottrade**

1:09:09 PM 6/30/2016

Limit Order Placed

Thank you! Your order to **Buy 500 Shares of CTL (CENTURYLINK INC) at Limit Price of \$28.00** has been submitted. Duration of this order is **Good until Cancelled**.

Order Confirmation #:

Order Time:

6/30/2016 1:09 PM ET

Any order entered after market close is good for the next business day.



GERALD R MCGRATH TTEE  
MARGARET ANN MCGRATH TTEE  
MCGRATH LIVING TRUST

**Scottrade**  
SCOTTRADE INC

Symbol	Account #	Tax Lot #	AT*	Cap*	AI*	Trade Date	Settlement Date
CTL		20160901XLF1970	1	1	4	9/1/2016	9/7/2016
Buy/Sell	Quantity	CUSIP Number	Security Description			Coupon	Execution Time
Bought	500	156700106	CENTURYLINK INC				11:08:56

Price	Principal	Commission	State Tax	Trading Activity Fee*	Regulatory Transaction Fee*	MF Trans Fee*	Misc*	Interest	Net Amount
27.588	13,794.00	42.00	0.00	0.00	0.00	0.00	0.00	0.00	13,836.00

#### ADDITIONAL INFORMATION:

#### UNSOLICITED ORDER

In accordance with your instructions we are confirming the transaction(s) reported on this document for your account, subject to the terms listed below. Please retain this confirmation for tax purposes. Notify Scottrade immediately if any information contained in this confirmation is not correct. This confirmation will be deemed correct in all aspects unless written notice of any inaccuracy is promptly sent to us. Failure to notify us within ten (10) days constitutes your acceptance of this transaction. The agreement controlling this transaction and the explanation of the symbols is printed below.

#### AGREEMENT

It is agreed between Scottrade, Inc. ("Scottrade") and the Customer that:

- All transactions are subject to the rules and regulations of the US Securities and Exchange Commission, the Federal Reserve Board, the Financial Industry Regulatory Authority, or any Market Center, Clearing Agency, or regulatory authority that may have jurisdiction over this transaction.
- All securities carried in a margin account may at any time be hypothecated and commingled with securities carried for the account of other customers and loaned or pledged by Scottrade for a sum not to exceed 140% of the aggregate indebtedness of that margin account.
- The Customer agrees to deliver securities sold and payment for securities bought to Scottrade no later than the settlement date. Otherwise, the securities may be bought in or sold out at the discretion of Scottrade. Failure to meet settlement may also result in the cancellation of this transaction or additional charges added to the account. Customer agrees to accept any liability resulting from any failure to complete the transaction. Pending full payment on purchase, securities may be hypothecated and commingled with other securities so purchased until payment is received.
- On purchases the name of the seller, and on sales the name of the purchaser, date and time of transaction, as well as any additional remuneration received by Scottrade in connection with this transaction, will be provided upon request.
- Should it become apparent that a dividend claim will be forthcoming after the settlement of this transaction, Scottrade reserves the right to withhold the claim amount from any proceeds or amount due.

#### EXPLANATION OF CODED SYMBOLS

##### AT\* - Account Type

0. Broker Dealer
1. Cash
2. General Margin
3. Short
4. Special Subscription
7. Flexible Reinvestment Program™

##### CAP\* - Capacity in which the firm acted:

1. As Agent for you we have sold or bought this security.
2. As Principal we have sold to you or bought from you this security.
3. As Agent for another we have sold to you or bought from you this security.
4. As Agent for both buyer and seller.
5. As Principal with commission equivalent charged.

##### AI\* - Account Instructions

1. Transfer and mail security to customer
2. Hold security
3. Safekeep security in customer name
4. Safekeep security in street name
5. Special written instructions
- J. Hold funds in account
- K. Mail check to customer on receipt of security
- L. Apply proceeds to purchase
- M. Special written instructions

**Trading Activity Fee\*** - A regulatory transaction fee that is assessed by Scottrade for certain FINRA regulatory costs on stock and option sell trades. Calculations of this fee are truncated to the penny (i.e. \$0.01 increments). If your confirmation indicates that an average price was received, the fee amount shown is determined by first calculating the fee, then summing all those fees together and truncating to the penny. In certain cases this fee is capped at a maximum rate. For specific rate information go to:  
<https://www.scottrade.com/documents/alt/CommissionsandFees.pdf>

**Regulatory Transaction Fee\*** - A Regulatory Transaction Fee that is assessed by Scottrade for certain regulatory costs on stock and option sell trades. All calculations of this fee are truncated to the penny (i.e. \$0.01 increments). If your confirmation indicates that an average price was received, the fee amount shown is determined by first calculating the fee, then summing all those fees together and truncating to the penny. For specific rate information go to:  
<https://www.scottrade.com/documents/alt/CommissionsandFees.pdf>

**MF Trans Fee\*** - Fees charged related to Mutual Fund transactions

will display in this field, which may include the Contingent Deferred Sales Charge, short term redemption fee, transaction fees, and/or mailed confirmation fee.

Misc\* - Miscellaneous charges such as:

Certificate Fees

Postage and Handling

Other

**Access Equals Delivery:** Under the Securities Exchange Act Rule 174, a purchase of securities registered under the Securities and Exchange Act of 1933 in the secondary market requires delivery of a prospectus for a period of time after the effective date of the issuer's registration statement. Scottrade is providing you notification under Securities Exchange Act Rule 174 that you can obtain the issuer's prospectus and other company documentation at [www.sec.gov/edgar/searchedgar/companysearch.html](http://www.sec.gov/edgar/searchedgar/companysearch.html)

\* **Average Price:** For trades where an average price was used (due to multiple executions), the price of each individual execution is available by contacting your local Scottrade® team or registered investment advisor. The average price will be reported to the IRS when applicable.

**Bond Ratings Disclosure:** This confirmation may contain information obtained from third parties, including ratings from credit ratings agencies such as Standard & Poor's. Reproduction and distribution of third party content in any form is prohibited except with the prior written permission of the related third party. Third party content providers do not guarantee the accuracy, completeness, timeliness or availability of any information, including ratings, and are not responsible for any errors or omissions (negligent or otherwise), regardless of the cause, or for the results obtained from the use of such content. Third party content providers give no express or implied warranties, including but not limited to, any warranties of merchantability or fitness for a particular purpose or use. Third party content providers shall not be liable for any direct, indirect, incidental, exemplary, compensatory, punitive, special or consequential damages, costs, expenses, legal fees, or losses (including lost income or profits and opportunity costs) in connection with any use of their content, including ratings. Credit ratings are statements of opinions and are not statements of fact or recommendations to purchase, hold or sell securities. They do not address the suitability of securities or the suitability of securities for investment purposes, and should not be relied on as investment advice.

**Call Features:** If the trade confirmation notes that the security purchased has a call feature, or additional call features that may affect yield, please contact your local Scottrade® team or registered investment advisor if you would like to receive further information regarding the security.

**Canadian Securities:** Orders in Canadian securities may be subject to a Canadian brokerage fee, which is included in the price displayed on the confirmation. Information on the applicability of this fee can be found on our website or by contacting your local Scottrade® team or registered investment advisor.

**Due Bill:** A due bill will show on all sale confirmations for a stock when a split is pending, to identify the seller's obligation to deliver securities sold to the buyer. The ratio displayed represents the number of shares owed for the number of shares sold.

**EMMA (Electronic Municipal Market Access):** This MSRB website provides access to official statements and trade data for municipal securities transactions. The information can be viewed, downloaded or printed at <http://emma.msrb.org/> by searching for the cusip number.

**Financial Transaction Tax:** The French government recently adopted a financial transaction tax ("FTT") on broker-dealers. The tax is imposed at 0.2% on the net purchases of a customer on the trading day with respect to purchases of eligible equity securities, ADRs and other securities issued by French-listed companies having a market capitalization in excess of €1bn on January 1st of the year during which the trade occurs. The French authorities have published a list of securities that are subject to the FTT. Scottrade intends to impose a fee equal to 0.2% of the purchase price for each transaction subject to the FTT to offset taxes/charges incurred by Scottrade as a result of these transactions. This fee is not a pass through of the tax to Scottrade's customers and may be greater than or less than the tax incurred with respect to a particular customer's trading activity.

**Order Routing Disclosure:** Scottrade receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm and the source and amount of any compensation received by the firm in connection with your transaction will be disclosed upon request. The identity of the market center to which any customer order is routed during the previous six month period is available upon request.

**Short Transactions:** Should this trade, through the result of a short sale, option assignment or exercise result in a short transaction, you understand that you will be responsible for any resulting loss, short rebate expense or associated costs incurred by us in connection with this "short" transaction.

**Requests for Additional Information:** Information requested by a customer pursuant to statements required on the confirmation shall be provided within five business days following the date of receipt of a request for such information; provided however, that in the case of information relating to a transaction executed more than 30 calendar days prior to the date of receipt of a request, the information shall be given or sent to the customer within 15 business days following the date of receipt of the request.

For a full explanation, please contact your local Scottrade® team or registered investment advisor.

Type	Symbol / Cusip	Quantity	Description	Estimated Market		Estimated Annual		
				Price	Value	%	Income	Cur. Yld
CASH	CTL	500	CENTURYLINK INC LAST DVD INFO:08/15/17 @ .540	18.98	9,495.00	38.08	1,080.00	11.4
TOTAL					26,320.00	100%	2,060.00	

BANK DEPOSIT ACTIVITY				
Date	Transaction	Description	Amount	Balance
		OPENING BALANCE		2,167.01
10/31/17	INTEREST EARNED	INT EARNED BANK DEP PROGRAM 31 DAYS @ 0.01% APYE 0.01%	0.02	2,167.03
		CLOSING BALANCE		2,167.03

BANK DEPOSIT PROGRAM BALANCES		
PROGRAM BANK	OPENING BALANCE	CLOSING BALANCE
U.S. BANK NA	2,001	2,000
TD BANK USA	2,167.00	2,167.03
TOTAL PROGRAM BALANCE	2,167.01	2,167.03

\* Bank Deposit Program (BDP) deposits are held at the banks listed above. Deposits in BDP Accounts are eligible to be insured by the FDIC up to \$250,000 per depositor. Details on FDIC deposit insurance coverage and limitations are available at [www.fdic.gov/deposit](http://www.fdic.gov/deposit). If you have any additional deposits at any of the above banks you should monitor the total amount of deposits you have with any one bank to recognize whether you are exceeding FDIC insurance coverage. You may block a specific Program Bank from receiving your funds or withdraw your consent to participate in the Program by logging onto your Scottrade account or contacting your local Scottrade team. Scottrade Bank and Scottrade, Inc. are separate but affiliated companies. Securities products offered by Scottrade, Inc. are not deposit obligations of any of the listed banks, are subject to investment risk, are not FDIC insured, may lose value and are not bank-guaranteed.

The balance in the Bank Deposit Program in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your brokerage securities account or remitted to you.



\*\* You can review cost basis information for your account by clicking on the My Account tab after logging into your account and then clicking on 'Gain/Loss & Tax Center'. Unless you instruct otherwise, Scottrade will use the first in, first out (FIFO) method to calculate your gains and losses. When determining cost basis, Scottrade's default method of tax lot selection is First In, First Out (FIFO). Cost basis educational material can be found in the Knowledge Center, accessible through your account online.

The About Your Statement document can be accessed online by logging into your Scottrade account and going to My Account>Statements & History>Account Statements.





GERALD R MCGRATH TTEE  
MARGARET ANN MCGRATH TTEE  
MCGRATH LIVING TRUST

Branch Office	
SCOTTRADE INC	
	
Account Number	Office
	22J
Period Beginning	Period Ending
10 / 01 / 2017	10 / 31 / 2017

## INFORMATION UPDATE

We appreciate your business. Thank you for choosing Scottrade.

All brokerage products and services offered by Scottrade, Inc. - Member FINRA/SIPC.

ACCOUNT SUMMARY		ACTIVITY SUMMARY	
	VALUE THIS PERIOD	OPENING TOTAL MONEY BALANCE	2,167.01
VALUE SECURITIES IN POSITION	26,320.00	CREDITS:	
MONEY BALANCES:		DIVIDEND/INTEREST INCOME	0.02
BANK DEPOSIT PROGRAM BALANCE*	2,167.03	OTHER CREDITS	0.00
BROKERAGE ACCOUNT BALANCE	0.00	TOTAL CREDITS	0.02
TOTAL MONEY BALANCE	2,167.03	DEBITS:	
TOTAL ACCOUNT VALUE	28,487.03	DIVIDEND/INCOME EXPENSE	0.00
		OTHER DEBITS	0.00
		TOTAL DEBITS	0.00
		CLOSING TOTAL MONEY BALANCE	2,167.03

**Current Tax Strategy\*\***  
Stocks, Options & Bonds: FIFO  
Funds: FIFO

## SECURITY POSITIONS



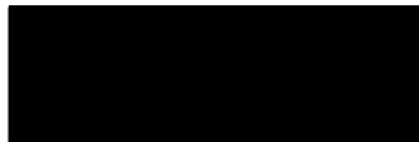
This  
**MEMORANDUM/CERTIFICATE OF TRUST**

prepared for

**GERALD R. MC GRATH**

and

**MARGARET ANN MC GRATH**



© Jeffrey P. Molever  
All Rights Reserved

## **Certificate of Trust**

The undersigned Trustors and Trustees hereby certify the following:

1. This Certificate of Trust refers to the MC GRATH LIVING TRUST, dated APR 13 2001 under a revocable trust agreement executed on APR 13 2001 by GERALD R. MC GRATH and MARGARET ANN MC GRATH as Trustors.
2. The address of the Trustors is [REDACTED]
3. The initial Trustees of the Trust are:  
  
GERALD R. MC GRATH  
MARGARET ANN MC GRATH
4. The present Trustees are:  
  
GERALD R. MC GRATH  
MARGARET ANN MC GRATH
5. Unless otherwise provided in our Trust Agreement, when either one or both of us are serving as Trustee under our Trust, that one or either of us may conduct business and act on behalf of our Trust without the consent of any other Trustees.
6. Our Trustees under our Trust Agreement are authorized to acquire, sell, convey, encumber, lease, borrow, manage and otherwise deal with interests in real and personal property in our Trust name. All powers of our Trustees are fully set forth in Article Fourteen of our Trust Agreement.
7. Our Trust has not been revoked and there have been no amendments limiting the powers of our Trustees over trust property.
8. No person or entity paying money to or delivering property to our Trustee shall be required to see to its application. All persons relying on this document regarding our Trustee(s) and their powers over trust property shall be held harmless for any resulting loss or liability from such reliance. A copy of this Certificate of Trust shall be just as valid as the original.

The undersigned certify that the statements in this Certificate of Trust are true and correct and that it was executed in the County of Hennepin, Minnesota on APR 13 2001.

Trustors:

Gerald R. McGrath  
GERALD R. MC GRATH

Margaret Ann McGrath  
MARGARET ANN MC GRATH

Trustees:

Gerald R. McGrath  
GERALD R. MC GRATH

Margaret Ann McGrath  
MARGARET ANN MC GRATH

STATE OF MINNESOTA )

SS

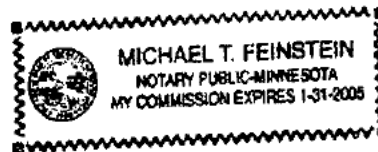
COUNTY OF HENNEPIN )

This instrument was acknowledged before me on APR 13 2001 by GERALD R. MC GRATH and MARGARET ANN MC GRATH, Trustors and Trustees.

Michael T. Feinstein  
Officer's Signature

My Commission Expires: 1-31-05

Notary  
Title



Prepared by: Jeffrey P. Molever  
The Molever Law Firm





Gerald McGrath



CASE 0:18-cv-00296-MJD-JFD

Doc. 375-1

Filed 07/13/21

Page 156 of 213

**CenturyLink Securities Litigation**  
**EXCLUSIONS c/o Epiq.**  
**P.O. Box 2588**  
**Portland, OR. 97208-2588**

PRESS FIRMLY TO SEAL

PRESS FIRMLY TO SEAL



1007



87208

U.S. POSTAGE PAID  
PME 1-Day  
SAVAGE, MN  
55378  
JUN 24, 21  
AMOUNT

**\$26.35**

R2305K132617-12

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MAIL  
EXPRESS®**



## CUSTOMER USE ONLY

FROM: (PLEASE PRINT)

PHONE ( )

Gerald McGrath

## DELIVERY OPTIONS (Customer Use Only)

☐ **SIGNATURE REQUIRED** Note: The mailer must check the "Signature Required" box if the mailer: 1) Requires the addressee's signature; OR 2) Purchases additional insurance; OR 3) Purchases QOD service; OR 4) Purchases Return Receipt service. If the box is not checked, the Postal Service will leave the item in the addressee's mail receptacle or other secure location without attempting to obtain the addressee's signature on delivery.

## Delivery Options

- ☐ No Saturday Delivery (delivered next business day)  
☐ Sunday/Holiday Delivery Required (additional fee, where available)  
\*Refer to USPS.com® or local Post Office® for availability.

TO: (PLEASE PRINT)

PHONE ( )

Century Link Securities Litigation  
Exclusion c/o Gpi  
P.O. Box 2 588  
Portland Or.  
97208-2588

ZIP + 4® (U.S. ADDRESSES ONLY)

- For pickup or USPS Tracking™, visit USPS.com or call 800-222-1811.  
■ \$100.00 Insurance Included.

**PEEL FROM THIS CORNER**

## PAYMENT BY ACCOUNT (if applicable)

USPS® Corporate Acct. No.

Federal Agency Acct. No. or Postal Service™ Acct. No.

## ORIGIN (POSTAL SERVICE USE ONLY)

<input checked="" type="checkbox"/> 1-Day	<input type="checkbox"/> 2-Day	<input type="checkbox"/> Military	<input type="checkbox"/> DPO
ZIP Code 55378	Scheduled Delivery Date (MM/DD/YY) 06/25/21	Postage \$26.35	
Date Accepted (MM/DD/YY) 06/24/21	Signature Delivery Time <input type="checkbox"/> 6:00 PM	Insurance Fee \$	QOD Fee \$
Time Accepted 1000 AM		Return Receipt Fee \$	Live Animal Transportation Fee \$
Special Handling/Fragile \$	Sunday/Holiday Premium Fee \$	Total Postage & Fees \$26.35	
Weight 1.00 lbs.	Acceptance Endorsement \$		

## DELIVERY (POSTAL SERVICE USE ONLY)

Delivery Attempt (MM/DD/YY)	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Delivery Attempt (MM/DD/YY)	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature

LABEL 11-G, MAY 2021

PSN 7580-02-000-6998

WHEN USED INTERNATIONALLY  
A CUSTOMS DECLARATION  
LABEL MAY BE REQUIRED.



EP13F July 2013 OD: 12.5 x 9.5



PS10001000006


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**UNITED STATES  
POSTAL SERVICE**

## Exclusion Request - 40

Roland R. Shaw  
and Janet S. Shaw



June 18, 2021

CenturyLink Securities Litigation  
EXCLUSIONS  
C/O Epiq  
P.O. Box 2588  
Portland, OR 97208-2588

Dear Sir/Madam,

We request to please be excluded from the settlement class in CenturyLink Sales Practices and Securities Litigation, Civil Action No 18-296 (MJD/KMM).

As of the beginning of trading March 1, 2013, we held 61 shares of CenturyLink Common Stock and No CenturyLink 7.60% Notes.

We made the following transactions of CenturyLink Common Stock during the class period from March 1, 2013 through and including July 12, 2017:


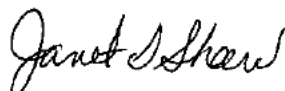
Date	Bought/Sold	Quantity	Price per Share	Total Purchase
08/26/2013	Bought	65	\$33.40	\$ 2,171.00
11/06/2013	Sold	66	\$33.76	\$ 2,228.42
02/19/2014	Sold	1	\$31.13	\$ 31.13
02/13/2015	Bought	9	\$39.57	\$ 356.17
02/27/2015	Bought	1	\$37.39	\$ 37.39
03/02/2016	Bought	3	\$30.64	\$ 91.92
03/24/2016	Sold	24	\$30.82	\$ 739.66
10/28/2016	Sold	7	\$30.37	\$ 212.60
03/07/2017	Sold	2	\$22.91	\$ 45.81

As of close of trading July 12, 2017 we held 39 shares of CenturyLink Common Stock.


We, Roland R. Shaw and Janet S. Shaw, hereby attest we were the beneficial owners of the stock referenced above and have signed this request under penalty of perjury.



Roland R. Shaw

Janet S. Shaw



your route accordingly. You must obey all signs or notices regarding your route.





Roland R. Shaw

CASE 0:18-cv-00296-MJD-JFD Doc. 375-1 Filed 07/13/21 Page 161 of 213

Research Triangle Region  
25 JUN 2021 PM 6 L



CenturyLink Securities Litigation  
Exclusions  
c/o Epig  
P.O. Box 2588  
Portland, OR 97208-2588

97208-258888



## Exclusion Request - 41

CenturyLink Securities Litigation, EXCLUSIONS  
c/o Epiq  
P.O. Box 2588  
Portland, OR 97208-2588

*Re: CenturyLink Sales Practices and Securities Litigation*, Civil Action No. 18-296(MJD/KMM)

We request to be excluded as class members in the referenced class action. We acquired our CenturyLink shares (38) before March 1, 2013 and did not sell our shares during the period March 1, 2013 through July 12, 2017. Therefore, we are not in the litigation period being considered.

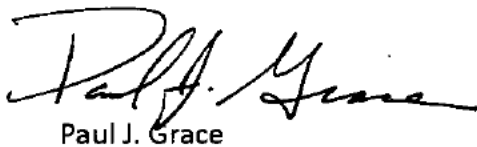
As such please excuse us from this class litigation.

We are:

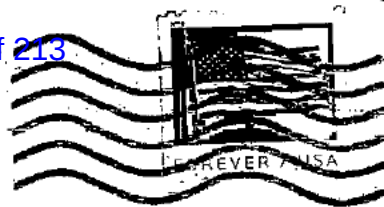
Kathryn A. Grace & Paul J. Grace TTEE  
U/A DTD Mar 28, 2001  
Kathryn A Grace Living Trust UAD

Yours truly,

  
Kathryn A. Grace

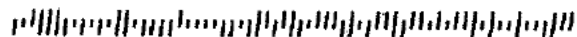
  
Paul J. Grace

23 JUN 2021 PM 6 L



CenturyLink Securities Litigation, EXCLUSIONS  
c/o Epiq  
P.O. Box 2588  
Portland, OR 97208-2588

97208-2588



## Exclusion Request - 42

June 21, 2021

Century Link Securities Litigation EXCLUSIONS

c/o Equip

PO Box 2588

Portland OR 97208-2588

Hello,

My name is Jennifer Berthold and I do NOT want to be a member of the class action law suit against Century Link. I request exclusion from the Class in *Re: Century Link Sales Practices and Securities Litigation*, Civil Action No 18-296 (MJD / KMM).

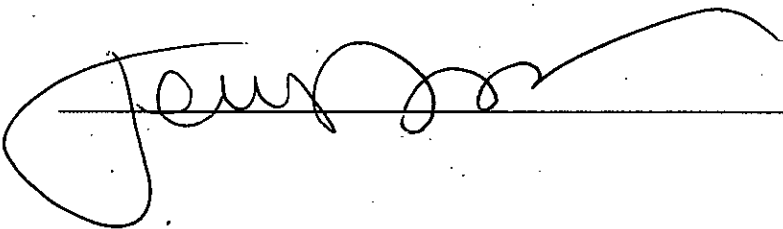
Jennifer Berthold

[REDACTED]

[REDACTED]

Thank You.

Jennifer Berthold

A handwritten signature in black ink, appearing to read 'Jennifer Berthold', is written over a horizontal line.

73. Each Class Member will be bound by all determinations and judgments in this lawsuit, whether favorable or unfavorable, unless such person or entity mails or delivers a written Request for Exclusion from the Class, addressed to CenturyLink Securities Litigation, EXCLUSIONS, c/o Epiq, P.O. Box 2588, Portland, OR 97208-2588. The Request for Exclusion must be **received no later than June 29, 2021**. You will not be able to exclude yourself from the Class after that date. Each Request for Exclusion must: (i) state the name, address, and telephone number of the person or entity requesting exclusion, and in the case of entities, the name and telephone number of the appropriate contact person; (ii) state that such person or entity "requests exclusion from the Class in *In re: CenturyLink Sales Practices and Securities Litigation*, Civil Action No. 18-296 (MJD/KMM)"; (iii) state the number of shares of publicly traded CenturyLink common stock and/or the face value of publicly traded CenturyLink 7.60% Notes that the person or entity requesting exclusion (A) owned as of the opening of trading on March 1, 2013 and (B) purchased/acquired and/or sold during the Class Period (*i.e.*, from March 1, 2013 through July 12, 2017, inclusive), including the dates, number of shares/face value, and prices of each such purchase/acquisition and sale; and (iv) be signed by the person or entity requesting exclusion or an authorized representative. A Request for Exclusion that does not provide all the information called for in this paragraph and is not received within the time stated above will be invalid and will not be allowed. Lead Counsel may request that the person or entity requesting exclusion submit additional transaction information or documentation sufficient to prove his, her, or its holdings and trading in CenturyLink common stock and/or CenturyLink 7.60% Notes.

Questions? Visit [www.CenturyLinkSecuritiesLitigation.com](http://www.CenturyLinkSecuritiesLitigation.com),  
email [info@CenturyLinkSecuritiesLitigation.com](mailto:info@CenturyLinkSecuritiesLitigation.com) or call toll free at 1-800-726-0952

79. The Settlement Fairness Hearing will be held on July 20, 2021 at 11:00 a.m., before the Honorable Michael J. Davis, either in person at the United States District Court for the District of Minnesota, Courtroom 13E of the Diana E. Murphy United States Courthouse, 300 South Fourth Street, Minneapolis, MN 55415, or by telephone or video conference (in the discretion of the Court), to determine, among other things: (i) whether the proposed Settlement on the terms and conditions provided for in the Stipulation is fair, reasonable, and adequate to the Class, and should be finally approved by the Court; (ii) whether the Action should be dismissed with prejudice against Defendants and the Releases specified and described in the Stipulation (and in this Notice) should be granted; (iii) whether the proposed Plan of Allocation should be approved as fair and reasonable; (iv) whether Lead Counsel's application for an award of attorneys' fees and Litigation Expenses should be approved; and (v) any other matters that may properly be brought before the Court in connection with the Settlement. The Court reserves the right to approve the Settlement, the Plan of Allocation, and Lead Counsel's motion for an award of attorneys' fees and Litigation Expenses and/or consider any other matter related to the Settlement at or after the Settlement Fairness Hearing without further notice to the members of the Class.

80. Any Class Member who or which does not request exclusion may object to the Settlement, the proposed Plan of Allocation, or Lead Counsel's motion for attorneys' fees and Litigation Expenses. Objections must be in writing. You must file any written objection, together with copies of all other papers and briefs supporting the objection, with the Clerk's Office at the United States District Court for the District of Minnesota at the address set forth below **on or before June 29, 2021**. You must also serve the papers on Lead Counsel and on Defendants' Counsel at the addresses set forth below, **with a copy emailed to both Lead Counsel at [johnb@blbglaw.com](mailto:johnb@blbglaw.com) and [tdejong@stollberne.com](mailto:tdejong@stollberne.com) and Defendants' Counsel at [pgibbs@cooley.com](mailto:pgibbs@cooley.com)**, so that the papers are *received on or before June 29, 2021*.

Questions? Visit [www.CenturyLinkSecuritiesLitigation.com](http://www.CenturyLinkSecuritiesLitigation.com),  
email [info@CenturyLinkSecuritiesLitigation.com](mailto:info@CenturyLinkSecuritiesLitigation.com), or call toll free at 1-800-726-0952



24 JUN 2021 PM 1 L



EXCLUSIONS // EQUIP  
CENTURY LINK SECURITIES LITIGATION  
PO BOX 2588  
PORTLAND OR

97208-2588

97208-2588



## Exclusion Request - 43

6-23-2021

TO: CENTURY LINK SECURITIES LITIGATION  
EXCLUSIONS

C/o Epic, PO BOX 2588  
PORTLAND, OR.  
97208-2588

EXCLUSION REQUEST FOR: MARK S. CLARE



PLEASE REFERENCE ATTACHED MERRILL LETTER  
FOR DATES OF CENTURY LINK SHARE ACTIONS.  
AS FOLLOWS:

DATE	TYPE	QUANTITY	PRICE
11/03/2016	SOLD	30	23.0530
05/23/2016	BOUGHT	1	26.9699
02/11/2015	BOUGHT	29	40.1578

Mark S. Clare



June 23, 2021

Mark Clare

RE: Account Number [REDACTED]  
Mark Clare

To Whom It May Concern:

This letter is to confirm that the above referenced account held the following Century Link shares from March 1<sup>st</sup>, 2013 to October 9, 2017.

Date	Type	Quantity	Price
11/03/2016	Sold	30	23.0530
05/23/2016	Bought	1	26.9699
02/11/2015	Bought	29	40.1578

Sincerely,

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLPF&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation ("BofA Corp."). MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BofA Corp.

Investment products:

Are Not FDIC Insured	Are Not Bank Guaranteed	May Lose Value
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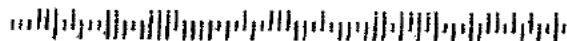
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25 JUN 2021 PM 2 L

FOREVER / USA

CenturyLink Securities Litigation  
Exclusions  
C/o Epig P.O. Box 2588  
PORTLAND, OR.  
97208-2588

97208-258888



## Exclusion Request - 44

JUNE 21, 2021

CENTURY LINK SECURITIES LITIGATION  
EXCLUSIONS, c/o Epiq.

P.O. Box 2588

PORTLAND, OR 97208-2588

RE. CENTURY LINK SALES  
PRACTICES & SECURITIES  
LITIGATION

MDL No. 17-2795 (MJD/KMM)

CIVIL ACTION # 18-296 (MJD-KMM)

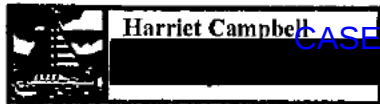
I would LIKE TO BE EXCLUDED FROM  
CLASS ACTION SUIT NO. 18-296-(MJD/KMM)  
MY NAME IS: HARriet W. CAMPBELL



MY SHARES WERE PURCHASED ON  
FEB. 3, 2012 WHICH WAS BEFORE THE  
DATE OF MARCH 1, 2013, LISTED IN  
YOUR LITIGATION NOTICE. NO  
SHARES WERE PURCHASED  
BETWEEN MARCH 1, 2013 AND,  
JULY 12, 2017.

THANK YOU.

Harriet W. Campbell



22 JUN 2021 PM 2 L



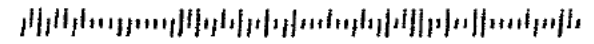
Ea  
Apr



FOREVER / USA

CENTURY LINK SECURITIES LITIGATION  
EXCLUSIONS, % Epiq.  
P.O. Box 2588  
PORTLAND, OR. 97208-2588

97208-258888







## Exclusion Request - 45



**BY COURIER**

CenturyLink Securities Litigation EXCLUSIONS

c/o Epiq,  
P.O. Box 2588,  
Portland, OR 97208-2588  
United States of America

Zurich, 24<sup>th</sup> June 2021

**Request for Exclusion from the In Re CenturyLink Sales Practices and Securities Litigation, Civil Action No. 18-296 (MJD/KMM)**

Dear Claims Administrator

We, including all the entities listed below are a Class Member as defined in the Notice. We had holdings in CenturyLink 7.60 % Notes and or Stocks from the opening of trading on March 1, 2013 through to and including July 12, 2017 as shown in the Annex A. As all our clients have either transferred to other banks or have closed their accounts and given the fact that we are winding down our activity, we hereby request that we be excluded from the Litigation Class in the CenturyLink Sales Practices and Securities Litigation, Civil Action No. 18-296 (MJD/KMM).

- Bank Hapoalim (Schweiz) AG
- Bank Hapoalim (Switzerland) Ltd
- Bank Hapoalim (Switzerland) Ltd-Zurich Head Office
- Bank Hapoalim (Switzerland) Limited-Zurich Branch
- Bank Hapoalim (Switzerland) Geneva Branch
- Bank Hapoalim (Switzerland) Luxembourg Branch
- Bank Hapoalim (Switzerland) Singapore Branch
- Hapoalim (Switzerland) Ltd., and all subsidiaries and branches

Please confirm that the request has been actioned to [REDACTED] and [REDACTED]

Kind regards

Hapoalim (Switzerland) Ltd.

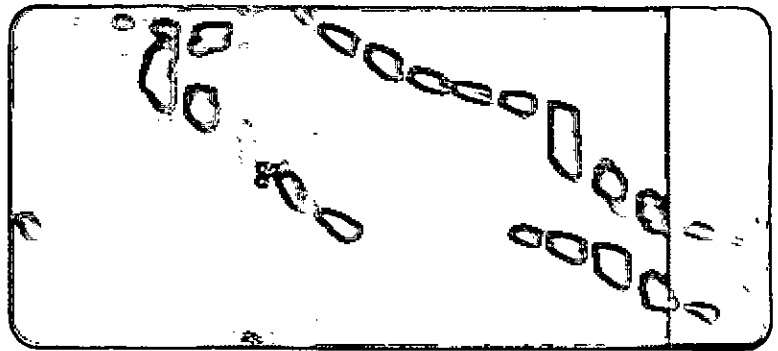
Handwritten signature of Claudia Spiess in cursive.

Claudia Spiess  
Management Member  
[REDACTED]

Handwritten signature of Rudolf Brunner in cursive.

Rudolf Brunner  
Head of Treasury & Trading

[illegible]



HAPOALIM  
(SWITZERLAND) LTD

**1 From**

Date 24.6.21 Sender's FedEx Account Number [REDACTED]

Sender's Name G. David Kolonka Phone [REDACTED]

Company BANK HYPOTHEK (SWITZERLAND)

Address [REDACTED]

Address [REDACTED]

City ZUERICH State [REDACTED] Province [REDACTED]

Country CH Postal Code 8002

**2 To**

Recipient's Name BY COURIER Phone [REDACTED]

Company Centurylink Securities EXCLUSIONS

Address c/o Epiq Dept./Floor [REDACTED]

Address P.O. Box 2588

City Portland OR State OR Province 97208-2588

Country United States of America ZIP [REDACTED]

Recipient's Tax I.D. number for Customs purposes  
e.g., GST/HST/VAT/NEEN, or as locally required

**3 Shipment Information**

Total Packages 1 Total Weight 0.16 kg DIM L / W / H cm

Commodity Description REQUIRED	Harmonised Code	Country of Manufacture	Value for Customs REQUIRED
<u>Correspondence</u>			<u>7.00</u>
Total Declared Value for Carriage	Specify Currency	Total Value for Customs	
<input type="checkbox"/> For EU only: Tick here if goods are not in free circulation and provide C.I.			

**4 Express Package Service**

☒ FedEx Intl. Priority ☐ FedEx Intl. First  
Available to select locations  
Higher rates apply.

☐ ☐ ☐

Packages up to 68 kg  
For packages over 68 kg,  
use the FedEx Expanded  
Service Intl. Air Waybill.

Not all services and  
options are available  
to all destinations.  
Dangerous Goods  
cannot be shipped  
using this Air Waybill.

**5 Packaging**

☒ FedEx Envelope ☒ FedEx Pak ☐ FedEx 10kg Box ☐ FedEx 25kg Box ☐ Other

These unique brown boxes with special printing are  
provided by FedEx for FedEx Intl. Priority only.

**6 Special Handling**

☐ HOLD at FedEx Location ☐ SATURDAY Delivery  
Available to select locations  
Available for FedEx Intl. Priority only

**7a Payment Bill transportation charges to:**

☐ Sender Acct. No. in Section 1 ☒ Recipient ☐ Third Party ☐ Credit Card ☐ Cash Cheque

FedEx Acct. No. [REDACTED] Total Transportation [REDACTED]

Credit Card No. [REDACTED]

Credit Card Exp. Date [REDACTED] Specify Currency [REDACTED]

**7b Payment Bill duties and taxes to:**

☐ Sender Acct. No. in Section 1 ☒ Recipient ☐ Third Party ☐ Cash Cheque

FedEx Acct. No. [REDACTED]

**8 Your Internal Billing Reference**

First 24 characters will appear on invoice.

**9 Required Signature**

Use of this Air Waybill constitutes your agreement to the Conditions of Contract on the back of this Air Waybill, and you represent that this shipment does not contain Dangerous Goods. Certain international treaties, including the Warsaw Convention, may apply to this shipment and limit our liability for damage, loss, or delay, as described in the Conditions of Contract.

Sender's Signature: [Signature] Date Executed: [REDACTED]

This is not authorization to deliver this shipment without a recipient signature.

Received above shipment in good order and condition. We agree to pay all charges, including Customs duties and taxes as applicable, and we agree to the Conditions of Contract as stated on the reverse side of the Recipient's Copy.

Recipient's Signature: [REDACTED]

FedEx Tracking Number [REDACTED]

468

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Rev. Date 12/01  
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PRINTED IN U.S.A.

Form I.D. No.

Origin Station I.D.	Destination Station I.D.	URSA Routing	Handling Units
<u>ZRH-1A</u>	<u>MRI-A</u>	<u>XL MRI-A</u>	
Received At: <input type="checkbox"/> Reg. Stop <input checked="" type="checkbox"/> On-Call Stop <input type="checkbox"/> Drop Box <input type="checkbox"/> World Service Center <input type="checkbox"/> Station	Forms Attached: <input type="checkbox"/> CI <input type="checkbox"/> SED <input type="checkbox"/> CO		
Basis Charges <u>[REDACTED]</u> Declared Val. Chg. <u>[REDACTED]</u> Other <u>[REDACTED]</u> ODA/OPA <u>[REDACTED]</u> Credit Card Auth. <u>[REDACTED]</u>	FedEx Emp. # <u>[REDACTED]</u> Date <u>[REDACTED]</u> Time <u>[REDACTED]</u> Del. Center Emp. # <u>[REDACTED]</u> Date <u>[REDACTED]</u> Time <u>[REDACTED]</u>		





**1 From**

Date 24.6.21

Sender's Name Edmund Kolonka Phone 012278181

Company BANK NAPOLEON (SEITZERLAND)

Address [REDACTED]

Address [REDACTED]

City ZUERICH State  Province

Country CH Postal Code 8002

**2 To**

Recipient's Name BY COURIER Phone

Company Centurylink Securities EXCEPTIONS

Address c/o Epiq Dept/Floor

Address P.O. Box 2588

City Portland OR State  Province 97208-2588

Country United States of America ZIP  Postal Code

Recipient's Tax I.D. number for Customs purposes  
e.g., GST/VAT/IN/EN, or as locally required

**3 Shipment Information**

Total Packages 1 Total Weight 0.16 kg DIM 1 W / H cm

Commodity Description REQUIRED	Harmonised Code	Country of Manufacture	Value for Customs REQUIRED
<u>10310 Station Blvd</u> <u>Beaverton - OR</u>			<u>7.64</u>
Total Declared Value for Carriage	Specify Currency <u>USD</u>		Total Value for Customs <u>7.64</u>

☐ For EU only:  
Tick here if goods are not in free circulation and provide C.I.

**4 Express Package Service**

☒ FedEx Intl. Priority ☐ FedEx Intl. First  
Available to select locations  
Higher rates apply.

**5 Packaging**

☒ FedEx Envelope ☒ FedEx Pak ☐ FedEx 10kg Box ☐ FedEx 25kg Box ☐ Other

**6 Special Handling**

☐ HOLD at FedEx Location ☐ SATURDAY Delivery  
Available to select locations  
Available for FedEx Intl. Priority only

**7a Payment Bill transportation charges to:**

☐ Sender Acct. No. in Section 1 will be billed. ☒ Recipient ☐ Third Party ☐ Credit Card ☐ Cash Cheque

Enter FedEx Acct. No. or Credit Card No. below.

15 [REDACTED]

Credit Card Exp. Date  Specify Currency

**7b Payment Bill duties and taxes to:**

☐ Sender Acct. No. in Section 1 will be billed. ☒ Recipient ☐ Third Party ☐ Cash Cheque

**8 Your Internal Billing Reference**

First 24 characters will appear on invoice.

**9 Required Signature**

Use of this Air Waybill constitutes your agreement to the Conditions of Contract on the back of this Air Waybill, and you represent that this shipment does not contain Dangerous Goods. Certain international treaties, including the Warsaw Convention, may apply to this shipment and limit our liability for damage, loss, or delay, as described in the Conditions of Contract.

Sender's Signature: [Signature] Date Executed:

This is not authorisation to deliver the shipment without a recipient signature.

Received above shipment in good order and condition. We agree to pay all charges, including Customs duties and taxes as applicable, and we agree to the Conditions of Contract as stated on the reverse side of the Recipient's Copy.

Recipient's Signature: [Signature]

Not all services and options are available to all destinations. Dangerous Goods cannot be shipped using this Air Waybill.



468

PART 15713  
Rev. Date 12/01  
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Form  
I.D. No.

FedEx  
Tracking  
Number

Origin Station I.D.	Destination Station I.D.	URSA Routing	Handling Units
<u>ZRLA</u>	<u>MR1A</u>	<u>1 - MR1A</u>	Total Volume (cm)
Received At: <input type="checkbox"/> Reg. Stop <input type="checkbox"/> On-Cell Stop <input type="checkbox"/> Drop Box <input type="checkbox"/> World Service Center <input type="checkbox"/> Station	Forms Attached: <input type="checkbox"/> CI <input type="checkbox"/> SED <input type="checkbox"/> CO		
Base Charges FedEx Emp. # <u>12345</u>	Declared Val. Chrg. Audit Emp. # <u></u>	Other Date <u></u>	Credit Card Auth. Del. Courier Emp. # <u></u>

**FedEx Tracking Number**

**Packages up to 68 kg**  
For packages over 68 kg,  
use the FedEx Expanded  
Service Incl. Air Waybill

**Not all services and options are available to all destinations. Dangerous Goods cannot be shipped using this Air Waybill.**

## 5 Packaging

These redwood brown bears with special grizzlies are

☒ FedEx Envelope ☐ FedEx Pak ☐ FedEx 10kg Box ☐ FedEx 25kg Box ☐ Other

**SATURDAY Delivery**

Available to select locations  
Available for FedEx Int'l. Priority only

— Enter FedEx Acct. No. or Credit Card No. below

☐ Sender Acct. No. in Section I will be billed. ☒ Recipient ☐ Third Party ☐ Credit Card ☐ Cash Cheque

Credit Card Exp. Date

ALL shipments can be subject to Customs charges, which FedEx does not estimate prior to clearance.

☐ Sender Acct. No. in Section I will be billed. ☒ Recipient ☐ Third Party ☐ Cash Cheque

First 24 characters will appear on invoice

Use of this Air Waybill constitutes your agreement to the Conditions of Contract on the back of this Air Waybill, and you represent that this shipment does not contain Dangerous Goods. Certain international treaties, including the Warsaw Convention, may apply to this shipment and limit our liability for damage, loss, or delay as described in the Conditions of Contract.

Sender's Signature: \_\_\_\_\_ Date Executed: \_\_\_\_\_

*This is not authorisation to deliver this shipment without a recipient signature*

Received above shipment in good order and condition. We agree to pay all charges, including Customs duties and taxes as applicable, and we agree to the Conditions of Contract as stated on the reverse side of the Recipient's Copy.

**Recipient's Signature:**

**FedEx  
Tracking  
Number**

Form  
I.D. No.

468

PART 157613  
Rev Date 12/01  
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PRINTED IN U.S.A.

0405

Commodity Description REQUIRED	Harmonised Code	Country of Manufacture	Value for Customs REQUIRED
10-200g plastic bottle of Leavening powder			TOTAL
Total Declared Value for Carriage	Specified Currency	£ 12.05	Total Value for Customs
<input type="checkbox"/> For EU only: Tick here if goods are not in free circulation and provide C.I.			7.00

Origin Station I.D. 22-1	Destination Station I.D. 61A	URSA Routing 1R1	Handling Units Total Volume (cm)
Received At: <input type="checkbox"/> Reg. Stop <input type="checkbox"/> De-Cell Stop <input type="checkbox"/> Drop Box <input type="checkbox"/> World Service Center <input type="checkbox"/> Station	Forms Attached: <input type="checkbox"/> CI <input type="checkbox"/> SED <input type="checkbox"/> CO		
Base Charges Exp. 1	Declared Val. Chrg. Exp. 2	Other Exp. 3	Credit Card Auth. Exp. 4

# FedEx®

## Express

RT **747**  
FZ  
1 10:30  
A 6424  
06.29

Extremely Urgent

For FedEx Express® Shipments Only

Contents should be compatible with the container and packed securely. For shipping terms and conditions and our limits of liability, refer to the applicable FedEx Express shipping document, the current FedEx Service Guide, or conditions of carriage.

For more information on FedEx Express services, solutions, and shipping locations, go to [fedex.com](https://www.fedex.com), or contact your nearest FedEx location.

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FED 642137 28JUN21 MATL 560C2/0255/05A2



AA  
INTL PRIORITY CLR  
97005  
OR-US  
PDX

86 BNOA

FedEx  
8470 6774 6424

136596 REV 5/20

FROM	136596 REV 5/20
Name	
Company	
Address	10300 SW Wilson Blvd
City, State, ZIP	Beaverton OR
Telephone	97005

**URGENT**

FedEx

Insert shipping document here.

ffects the world in responsible and resourceful ways at  
x.com. Join our efforts by recycling this FedEx envelope.

COPY  
Document Control

## Exclusion Request - 46

June 24, 2021.

REQUEST FOR EXCLUSION FROM THE CLASS  
CenturyLink Securities Litigation  
Exclusions  
c/o EPIQ, P.O. Box 2588  
Portland, OR 97208-2588

#### Required Information

My required information, prepared based on my best efforts, is below:

- (i) Name/Address/Phone Number: Anne M. Clifford, [REDACTED]  
[REDACTED]
- (ii) I request exclusion from the Class *In re: CenturyLink Sales Practices and Securities Litigation*. Civil Action No. 18-296 (MJD/KMM).
- (iii) (A) Stock owned as of the opening of trading March 1, 2013: 0.  
(B) Stock purchased/acquired and/or sold during the Class Period (March 1, 2013, through July 12, 2017, inclusive):
  - Acquired: 17.33333 CenturyLink (CTL) common stock shares, by inheritance  
Date: Saturday, 3/8/2014 (date my mother passed). However, I understand this may not be covered by the suit. See below.  
Face value (par value): \$17.33333.  
Price (fair market value/inherited): \$538.94.
  - Sold (via transfer as described below): 0.33333 share of the above CTL common stock.  
Date (contract/trade date, per Notice of Pendency and Settlement [Notice]): 5/13/2014.  
Face value (par value): \$0.33333. (If needed: Fair market value/inherited: \$10.36.)  
Price (value received): \$10.93, based on 5/13/2014 date.

#### Background

For both the inherited CTL shares and the exchanged inherited fractional CTL share, I want to be sure I exclude myself from the suit, if either are under covered by this case.

I inherited 17.33333 shares of Century Link (CTL) stock from my mother when she died, 3/8/2014. Between that date and the date I received 17.0 of those shares (5/27/2014) from the estate executor, I gave up 0.33333 shares (and minute amounts of shares in other companies in my mother's stock portfolio) and took value in the form of shares in other companies (also held by my mother; also minute). The process took place in this way because the executor sought to allocate equally the dollar value of my mother's stock portfolio across her primary (equal) residual beneficiaries, with input from each on whether we wanted stock or cash. I wanted just stock, and rounded some stocks up slightly, some down slightly, ending up with whole, not fractional, shares. The executor's process was not conducted via a broker but as part of distributing the stock value, based on advice from the estate's attorney.

My mother's shares in CTL were acquired prior to the start of the class period. Her will did not expressly transfer claimant rights for the CTL shares to her primary beneficiaries, including me—a requirement for inherited shares to be included in the class (page 12/Notice). That is, my CTL shares acquired through inheritance (17.33333) appear NOT to be covered by the suit. However, an estate/tax adviser I consulted advised me that the above "exchange" (of the fractional share of CTL and others, for other stock) constituted a sale/transfer of value, however small.

The value received for the 0.33333 share, above, is based on that fractional share's pro-rata share of the stock value exchanged for value in the other companies' stock. The value was received over three later dates (5/22, 5/23, and 5/27, all 2014), the dates I officially received the other stocks.

If you have questions, please contact me at [REDACTED] Thanks.

  
Anne M. Clifford

Request for Exclusion from the Class  
Century Link Securities Litigation

Exclusions

c/o EPIC, P.O. Box 2588  
Portland, OR 97208-2588

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\*Insurance does not cover certain items. For details regarding claims exclusions see the Domestic Mail Manual at <http://pe.usps.com>.

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## Exclusion Request 47



Request for Exclusion from the Class

June 18, 2021

CenturyLink Securities Litigation  
EXCLUSIONS, c/o Epiq  
P.O. Box 2588  
Portland, OR. 97208-2588

Sir,

I request exclusion from the Class in In re: CenturyLink Sales Practices and Securities Litigation, Civil Action No. 18-296 (MJD/KMM).

The beneficial owner of the shares in question is: Caroline M. Plante, [REDACTED]  
[REDACTED]

My mother, Caroline Plante, owned zero shares as of opening of trading on March 1, 2013, but purchased 515 shares of CenturyLink Common Stock on 11/17/16 for \$12,781.27, subsequently sold on 12/27/19. This was the only purchase of CenturyLink Common Stock she made. Attached are copies of Edward Jones' monthly statements reflecting the share purchase and sale, as well as my Power of Attorney.

Caroline M. Plante

By: Jeanne M. Prestel POA  
Jeanne M. Prestel, POA for Caroline M. Plante  
[REDACTED]

**Asset Details (continued)**

Stocks	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value
[REDACTED]					
Mutual Funds	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value
Franklin Co Tax-Free Income C	11.77	2,199.36	26,128.40	-241.93	25,886.47
<b>Total Account Value</b>					[REDACTED]

Cost basis is the amount of your investment for tax purposes and is used to calculate gain or loss incurred on the sale or other disposition of a security. Cost basis is not a measure of performance. The cost basis amounts in your statement should not be relied upon for tax preparation purposes. Please refer to your official tax documents for more information about reporting cost basis to the IRS. You should consult your attorney or qualified tax advisor regarding your situation. If you believe any of this cost basis information is inaccurate, please call our Client Relations department.

**Summary of Other Products and Services**

Loans and Credit	Account Number	Balance	Approved Credit	Available Credit	Interest Rate
[REDACTED]					

\* Your approved credit is not a commitment to loan funds. It is based on the value of your investment account which could change daily. The amount you may be eligible to borrow may differ from your approved credit. Borrowing against securities has its risks and is not appropriate for everyone. If the value of your collateral declines, you may be required to deposit cash or additional securities, or the securities in your account may be sold to meet the margin call. A minimum account value is required if you have loan features on your account. Your interest will begin to accrue from the date of the loan and be charged to the account. Your interest rate will vary depending on the assets under care of your Edward Jones Relationship Pricing Group. For more information on how your interest rate is calculated, contact your financial advisor or please visit: [www.edwardjones.com/disclosures/marginloans](http://www.edwardjones.com/disclosures/marginloans)

**Investment and Other Activity by Date**

Date	Description	Quantity	Amount
11/01	[REDACTED]		
11/01	[REDACTED]		
11/01	[REDACTED]		
11/14	[REDACTED]		
11/15	[REDACTED]		
11/15	[REDACTED]		
11/17	Buy Centurylink Inc @ 24.34 Special Commission Rate	515	-12,781.27
11/17	Buy [REDACTED]		
11/17	[REDACTED]		

Statement Date Nov 30 - Dec 31, 2019

Page 4 of 6

**Investment and Other Activity by Date**

Date	Description	Quantity	Amount
12/02	[REDACTED]	[REDACTED]	[REDACTED]
12/02			
12/02			
12/02			
12/02			
12/02			
12/02			
12/02			
12/02			
12/02			
12/02			
12/02			
12/12			
12/13	Dividend on Centurylink Inc on 515 Shares @ 0.25		128.75
12/16	[REDACTED]	[REDACTED]	[REDACTED]
12/27			
12/27	Sell Centurylink Inc @ 13.17 Special Commission Rate	-515	6,694.60
12/27	[REDACTED]	[REDACTED]	[REDACTED]
12/27			
12/27			
12/27			
12/27			
12/27			
12/27			
12/27			
12/27			
12/27			
12/30	[REDACTED]	[REDACTED]	[REDACTED]
12/31			

2019



00227088W

**STATE OF COLORADO STATUTORY FORM  
POWER OF ATTORNEY  
OF CAROLINE M. PLANTE**

**IMPORTANT INFORMATION:**

**This Power of Attorney authorizes another person (your Agent) to make decisions concerning your property for you (the Principal). Your Agent will be able to make decisions and act with respect to your property (including your money) even if you are not able to act for yourself. The meaning of authority over subjects listed on this form is explained in the "Uniform Power of Attorney Act", Part 7 of Article 14 of Title 15, Colorado Revised Statutes.**

**This Power of Attorney does not authorize the Agent to make health care decisions for you.**

**You should select someone you trust to serve as your agent. Unless you specify otherwise, generally the agent's authority will continue until you die or revoke the power of attorney or the agent resigns or is unable to act for you.**

**Your agent is entitled to reasonable compensation unless you state otherwise in the special instructions.**

**This form provides for designation of one agent. If you wish to name more than one agent you may name a coagent in the special instructions. Coagents are not required to act together unless you include that requirement in the special instructions.**

**If your agent is unable or unwilling to act for you, your power of attorney will end unless you have named a successor agent. You may also name a second successor agent.**

**This power of attorney becomes effective immediately unless you state otherwise in the special instructions.**

**If you have questions about the power of attorney or the legal authority you are granting to your agent, you should seek legal advice before signing this form.**

## DESIGNATION OF AGENT

I, **Caroline M. Plante**, also known as Caroline Magdeline Plante and Carrie Plante, name the following person as my Agent:

Name of Agent: Jeanne M. Prestel

Agent's address:

Agent's telephone number:

## DESIGNATION OF SUCCESSOR AGENT(S) (OPTIONAL)

If my Agent is unable or unwilling to act for me, I name as my successor agent:

Name of successor agent: Kenneth E. Plante

Successor agent's address:

Successor agent's telephone number:

If my successor agent is unable or unwilling to act for me, I name as my second successor agent:

Name of second successor agent: Not Applicable

Second successor agent's address: Not Applicable

Second successor agent's telephone number: Not Applicable

## GRANT OF GENERAL AUTHORITY

I grant my Agent and any successor agent general authority to act for me with respect to the following subjects as defined in the "Uniform Power of Attorney Act", Part 7 of Article 14 of Title 15, Colorado Revised Statutes:

(INITIAL each subject you want to include in the Agent's general authority. If you wish to grant general authority over all of the subjects you may initial "All preceding subjects" instead of initialing each subject)

- ☐ Real Property
- ☐ Tangible Personal Property
- ☐ Stocks and Bonds
- ☐ Commodities and Options
- ☐ Banks and Other Financial Institutions
- ☐ Operation of Entity or Business
- ☐ Insurance and Annuities
- ☐ Estates, Trusts, and Other Beneficial Interests
- ☐ Claims and Litigation
- ☐ Personal and Family Maintenance
- ☐ Benefits from Government Programs or Civil or Military Service
- ☐ Retirement Plans
- ☐ Taxes
- ☒ All Preceding Subjects

## LIMITATION ON AGENT'S AUTHORITY

An Agent that is not my ancestor, spouse or descendant **MAY NOT** use my property to benefit the Agent or a person to whom the Agent owes an obligation of support unless I have included that authority in the Special Instructions.

## SPECIAL INSTRUCTIONS

1. Additional Powers. In addition to the powers granted above, I grant my agent the following powers:

A. Real Estate. The power to effectuate real property transactions affecting the real property described in Exhibit "A" which may be attached hereto and incorporated herein by this reference, including but not limited to execution, delivery, and recording of deeds, deeds of trust, beneficiary deeds, revocation of beneficiary deeds, and related documents, even if such documents benefit my agent.

B. Banking and Accounts. All powers described in Colorado Revised Statute § 15-14-731, contracting for and revoking credit card arrangements, using credit cards, accessing any safe deposit box in which I have an interest, and withdrawing by check, order, or otherwise money or property of mine deposited with or left in the custody of a financial institution, bank, or credit union.

C. [Purposely Omitted.]

D. Tax Matters. The power to represent me in all tax matters; to execute federal tax form 2848 or any power of attorney form required by the Internal Revenue Service, covering any tax years; to prepare, sign, and file federal, state, or local income, gift, and other tax returns of all kinds, including, where appropriate, joint returns, FICA returns, payroll tax returns, claims for refunds, requests for extensions of time, ruling requests, petitions to the tax court or other courts regarding tax matters, and any and all other tax-related documents, including, without limitation, receipts, offers, waivers, consents, consents and agreements under Section 2032A of the Internal Revenue Code or any successor section thereto, consents to split gifts, closing agreements, and any power of attorney form required by the Internal Revenue Service or any state or local taxing authority with respect to any tax period; to consent to extension of any limitation of action relating to assessments; to pay taxes due, collect and make disposition of refunds, post bonds, receive confidential information, and contest deficiencies determined by the Internal Revenue Service or any state or local taxing authority; to exercise any elections I may have under federal, state, or local tax law; and generally to represent me in all tax matters and proceedings of all kinds and for all periods before or after the date of this delegation, before all officers of the Internal Revenue Service and state and local authorities and in any and all courts. I authorize my agent to substitute a representative and to delegate authority to a new representative and to retain and discharge professional counsel.

E. Disclaimers. The power to disclaim, by any method recognized under relevant law, any interest which I may have in any property, including any interest which I may have in a probate estate, retirement fund, or life insurance proceeds.

F. General Powers. The power to do everything necessary in my name and for my benefit which I could do if I were personally present and able, including performing any act and exercising any power, duty, right or obligation that I could perform or exercise. The power to make, accept, acknowledge, endorse, sign, execute, and deliver any and all documents, instruments, and writings and to do any act on my behalf and in my name upon such terms as my Agent deems fit and proper for the performance of such purposes.

G. Entities. The power to create, amend or terminate one or more trusts, partnerships, corporations, co-tenancies or any other form of ownership for the purpose of dealing with any property or property interest of any nature that I may have or hereafter acquire, under such terms and with such provisions as my agent deems to be in my best interests and in the best interests of my family; my agent may be a remainderman, partner, shareholder or beneficiary of any entity connected with any such transfer and the fact that my agent shall have any such interest or capacity shall not affect the validity thereof nor, by itself, constitute a breach of the fiduciary duty owed by my agent hereunder; to transfer any or all property, tangible, intangible or real, in which I may have any interest, into a trust or trusts, whether created by me or by my agent in my behalf and whether or not such trusts were created before or after the execution of this durable power of attorney, and to transfer any such properties to any other form of entity or ownership, including any form of co-tenancy.

H. Government Programs. The power to claim and apply for all benefits available to me from any governmental agency, such as Supplemental Social Security (SSI), Medicaid, Medicare, and Social Security Disability Insurance (SSDI) and to utilize all lawful means and methods to qualify me for such benefits. The authority herein granted shall include divesting me of my assets using the gifting powers set forth in the next section. I intend that such transfers by my agent be honored and upheld even though such transfers are made for no consideration and could appear self-serving.

I. Gifting Powers: I give my agent the power to make gifts, either outright or in trust, either (1) to complete any charitable pledges I have made, or (2) to my family members (including my agent) on the occasion of birthdays, Christmas, and other events in accordance with my past customary habit of gift-giving known to my agent; provided, however, that the total amounts of such gifts to any individual other than my spouse shall not exceed \$5,000 in any calendar year.

Notwithstanding the gifting powers granted above, my Agent shall make no gifts of my assets unless my Agent determines that I will not need to qualify for Medicaid benefits, or determines after obtaining legal advice that such gifts would have no adverse effect on my



eligibility for Medicaid benefits. Transfers by my Agent to my spouse for the purpose of becoming eligible for Medicaid shall not be subject to any limitation on amount.

J. Electronic Transactions. With regard to any website, mobile phone, or other channel or means of communication: the power to use my user identification, password, and personal identification number; to register and access the website or other channel in my name or in the agent's name, and perform any transactions and exercise any rights and options that I may have; to register for, suspend, or cancel electronic delivery of information, statements, confirmations, prospectuses, proxies, reports, bills, notices, disclosures, agreements, correspondence, and other documents related to my accounts or in my name; to pay my bills online; to receive and respond to emails in my name and use my email accounts; and to exercise online or through any channel or means of communication any right, power, or privilege the agent may have under this instrument.

K. Authority to Delegate: I authorize my Agent named above to delegate to either of my successor agents named above any of the powers granted herein to my Agent, if my Agent is temporarily unable to act as agent hereunder, or if it is simply more convenient to my Agent for my successor agent to have the authority to obtain information, provide a necessary signature, or otherwise exercise a power given to my Agent herein. Any such delegation shall be in writing and be signed by my Agent. The scope and duration of the delegated authority given to my successor agent shall be as specifically set forth in such written delegation. Any third party who deals with my successor agent in the exercise of delegated authority as permitted hereunder shall be absolutely protected in relying upon the terms of the written delegation signed by my Agent.

2. Access to Records. My Agent shall keep complete and accurate records of all receipts, disbursements and significant actions taken as my Agent. My Agent shall keep any successor agent informed regarding the status of my finances and property so that such successor agent will be prepared if called upon to assume the duties of agent.

3. Compensation. My Agent is entitled to compensation for services rendered as agent under this Power of Attorney.

## EFFECTIVE DATE

This power of attorney is effective immediately. This power of attorney shall not be rendered ineffective because of the disability of the principal.

I hereby revoke any prior powers of attorney executed by me, except for powers of attorney which concern medical issues.

## NOMINATION OF CONSERVATOR OR GUARDIAN

If it becomes necessary for a court to appoint a conservator of my estate or guardian of my person, I nominate the following person(s) for appointment:

Name of nominee for conservator of my estate: My agent named above

Name of nominee for guardian of my person: My agent named above.

## RELIANCE ON THIS POWER OF ATTORNEY

Any person, including my agent, may rely upon the validity of this power of attorney or a copy of it unless that person knows it has terminated or is invalid. Revocation of the power of attorney is not effective as to a third party until the third party learns of the revocation. I agree to indemnify the third party for any claims that arise against the third party because of reliance on this power of attorney. I hereby revoke any prior powers of attorney executed by me, except for powers of attorney which concern medical issues.

## SIGNATURE AND ACKNOWLEDGEMENT

Caroline M. Plante  
**Caroline M. Plante**

March 7, 2017

Date

State of Colorado }

County of El Paso }

This document was acknowledged before me on March 7, 2017 by **Caroline M. Plante**.

(Seal, if any)

Linda Flewellen Gould  
Notary Public

My commission expires: 5/23/2020

This document prepared by: Gould, Whitley, & Marshall

**LINDA FLEWELLEN GOULD**  
**NOTARY PUBLIC**  
**STATE OF COLORADO**  
NOTARY ID 19874074759  
My Commission Expires May 23, 2020

## IMPORTANT INFORMATION FOR AGENT

### Agent's Duties

When you accept the authority granted under this power of attorney, a special legal relationship is created between you and the principal. This relationship imposes upon you legal duties that continue until you resign or the power of attorney is terminated or revoked. You must:

- (1) Do what you know the principal reasonably expects you to do with the principal's property or, if you do not know the principal's expectations, act in the principal's best interest;
- (2) Act in good faith;
- (3) Do nothing beyond the authority granted in this power of attorney; and
- (4) Disclose your identity as an agent whenever you act for the principal by writing or printing and signing your own name as "agent" in the following manner:

(Principal's name) by (your signature) as agent

Unless the special instructions in this power of attorney state otherwise, you must also:

- (1) Act loyally for the principal's benefit;
- (2) Avoid conflicts that would impair your ability to act in the principal's best interest;
- (3) Act with care, competence, and diligence;
- (4) Keep a record of all receipts, disbursements, and transactions made on behalf of the principal;
- (5) Cooperate with any person that has authority to make health care decisions for the principal to do what you know the principal reasonably expects or, if you do not know the principal's expectations, to act in the principal's best interest; and
- (6) Attempt to preserve the principal's estate plan if you know the plan and preserving the plan is consistent with the principal's best interest.

### Termination of Agent's Authority

You must stop acting on behalf of the principal if you learn of any event that terminates this power of attorney or your authority under this power of attorney. Events that terminate a power of attorney or your authority to act under a power of attorney include:

- (1) Death of the principal;

- (2) The principal's revocation of the power of attorney or your authority;
- (3) The occurrence of a termination event stated in the power of attorney;
- (4) The purpose of the power of attorney is fully accomplished; or
- (5) If you are married to the principal, a legal action is filed with a court to end your marriage, or for your legal separation, unless the special instructions in this power of attorney state that such an action will not terminate your authority.

### **Liability of Agent**

The meaning of the authority granted to you is defined in the "Uniform Power of Attorney Act", Part 7 of Article 14 of Title 15, Colorado Revised Statutes. If you violate the "Uniform Power of Attorney Act", Part 7 of Article 14 of Title 15, Colorado Revised Statutes, or act outside the authority granted, you may be liable for any damages caused by your violation.

If there is anything about this document or your duties that you do not understand, you should seek legal advice.

Jeanne Prestel  
Steve Prestel



FOREVER / USA



FOREVER / USA

CenturyLink Securities Litigation  
EXCLUSIONS, c/o Epiq  
P.O. Box 2588  
Portland, OR. 97208-2588

372082588 8307



## Exclusion Request - 48

Carmen A. Cerza  


June 18, 2021

CenturyLink Securities Litigation  
 EXCLUSIONS  
 C/O Epiq  
 P.O. Box 2588  
 Portland, OR 97208-2588

Dear Sir/Madam,

I request to please be excluded from the settlement class in CenturyLink Sales Practices and Securities Litigation, Civil Action No 18-296 (MJD/KMM).


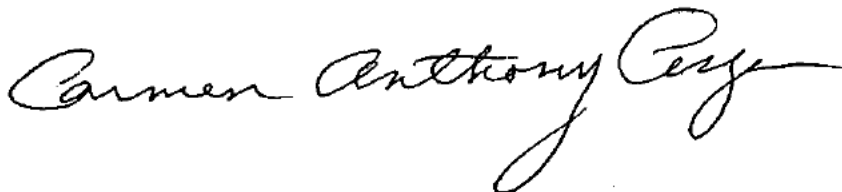
As of the beginning of trading March 1, 2013, I held zero shares of CenturyLink Common Stock and No CenturyLink 7.60% Notes.

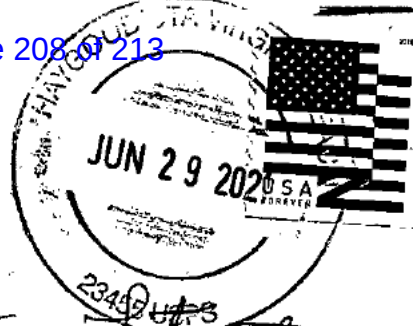
I made the following transactions of CenturyLink Common Stock during the class period from March 1, 2013 through and including July 12, 2017:

Date	Bought/Sold	Quantity	Price per Share	Total Purchase
03/17/2014	Bought	84	\$30.8050	\$ 2,587.62
02/04/2015	Sold	3	\$39.5060	\$ 118.52
02/13/2015	Bought	12	\$39.5750	\$ 474.89
03/20/2015	Bought	7	\$34.9800	\$ 244.86
10/14/2015	Sold	3	\$26.1660	\$ 78.50
10/14/2015	Sold	4	\$26.2475	\$ 104.99
03/23/2016	Bought	3	\$31.1500	\$ 93.45
05/17/2016	Bought	3	\$27.7000	\$ 83.10
03/24/2016	Sold	33	\$30.8187	\$ 1,017.02
10/28/2016	Sold	9	\$30.3720	\$ 273.33
03/28/2017	Sold	5	\$22.3776	\$ 111.18

As of close of trading July 12, 2017 I held 52 shares of CenturyLink Common Stock.

I, Carmen A. Cerza, hereby attest I was the beneficial owner of the stock referenced above and have signed this request under penalty of perjury.

Carmen A. Cerza  





Century Link Securities Registration  
Exclusions  
P.O. Box 2588  
Portland, OR 97208-2588

The Zaugg Financial Group, Inc. is not a Broker/Dealer.

Securities Offered Through:

RAYMOND JAMES FINANCIAL SERVICES, INC.®

Member FINRA/SIPC

5220832588 6907





29 JUN 2021 PM 7:1

## Exclusion Request - 49

[REDACTED]

Century link Securities Litigation,  
EXCLUSIONS,  
c/o Epiq,  
P.O. Box 2588,  
Portland, OR 97208-2588

6/29/21


RE: Exclusion request

To whom it may concern:

I've been sick for a few weeks and haven't been able to gather the required information sooner.  
Please accept this request.

I Joseph D. Russo and Helene L. Oback-Russo request exclusion from the Class in *In re:*  
*CenturyLink Sales Practices and Securities Litigation*, Civil Action No. 18-296 (MJD/KMM)'

Bought 1200 shares at 32.24 on 9/19/13	Sold 1200 shares at 33.11 on 10/11/13
Bought 1000 shares at 31.97 on 11/7/13	
Bought 1000 shares at 30.50 on 1/15/14	
Bought 1000 shares at 22.26 on 2/3/14	Sold 3000 shares at 29.69 on 2/10/14
Bought 1300 shares at 34.17 on 5/19/15	Sold 1300 shares at 25.19 on 12/19/15
Bought 1300 shares at 29.61 on 6/26/15	Sold 1300 shares at 25.17 on 12/19/15
Bought 1200 shares at 24.29 on 1/7/16	Sold 1200 shares at 23.65 on 1/14/16

  
*Helene Oback-Russo*

Joseph D. Russo & Helene L. Oback-Russo  
[REDACTED]

[REDACTED]

Century link Securities Litigation,  
EXCLUSIONS,  
c/o Epiq,  
P.O. Box 2588,  
Portland, OR 97208-2588

6/29/21

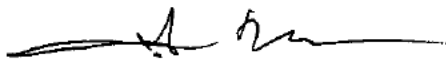
RE: Exclusion request

To whom it may concern:

I've been sick for a few weeks and haven't been able to gather the required information sooner. I hope you will accept this request.

I Joseph D. Russo request exclusion from the Class in *In re: CenturyLink Sales Practices and Securities Litigation*, Civil Action No. 18-296 (MJD/KMM)'

Bought 1200 shares at 34.14 on 6/3/13	Sold 1200 shares at 35.52 on 6/17/13
Bought 1300 shares at 34.38 on 6/20/13	Sold 1200 shares at 35.90 on 7/11/13
Bought 1400 shares at 35.06 on 8/16/13	Sold 1400 shares at 32.82 on 9/12/13
Bought 1200 shares at 38.09 on 10/15/14	Sold 1200 shares at 34.57 on 10/21/14
Bought 1200 shares at 38 on 12/10/14	Sold 1200 shares at 38.89 on 12/18/14
Bought 1000 shares at 41.65 on 3/12/15	Sold 1000 shares at 35.24 on 3/20/15
Bought 1200 shares at 34.66 on 4/17/15	Sold 1200 shares at 36.19 on 4/21/15
Bought 1300 shares at 34.15 on 5/2/15	Sold 1300 shares at 34.94 on 5/13/15



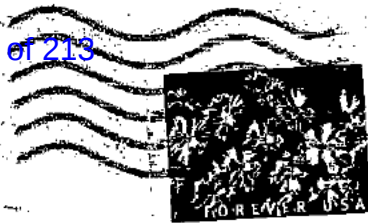
Joseph D. Russo

[REDACTED]



Mr & Ms Joseph & Helene Russo

2 JUL 2021 PM 9 L



Century Link Securities Litigation  
EXCLUSIONS

c/o Epiq,

P.O. BOX 2588

Portland, OR 97208-2588

97208-258888

